



New Maitland Hospital

Construction Compliance Report

14 August 2019

Version 0.2

Application No. SSI 9022

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1. Executive Summary

The New Maitland Hospital Development comprises various design and construction phases. State Significant Infrastructure (SSI) approval, SSI9022, was received from NSW Department Planning Infrastructure & Environment on 7 November 2018.

Health Infrastructure (HI) appointed Multiplex Constructions Pty Ltd (MPX) to deliver the Stage 1 construction activities as Managing Contactor and in accordance with the requirements of SSI9022 on 27 November 2018.

This Construction Compliance Report was prepared for the New Maitland Hospital project in order to satisfy SSI9022 Stage 1 condition, Schedule 3 - Part B, B21. A Compliance Report for the project must be carried out in accordance with the *Compliance Reporting Post Approval Requirements (Department 2018)*. Please refer to the extract of the condition clause in the table below.

| ID | Condition |
|-----------|--|
| SC3 - B21 | <p>No later than two weeks before the date notified for the commencement of construction, a Compliance Monitoring and Reporting Program prepared in accordance with the Compliance Reporting Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority.</p> <p>Compliance Reports of the project must be carried out in accordance with the <i>Compliance Reporting Post Approval Requirements (Department 2018)</i>.</p> <p>The Proponent must make each Compliance Report publicly available 60 days after submitting it to the Department and notify the Department and the Certifier in writing at least seven days before this is done.</p> |

Prior to the commencement of construction, a Compliance Monitoring and Reporting Program was submitted to, and accepted by, the Department of Planning, Infrastructure and Environment (DPIE).

This compliance review has been undertaken in accordance with the requirements set out by DPIE's Compliance Reporting Post Approval Requirements document dated June 2018.

The project was found to be largely compliant, with the exception of 1 non-compliance. The non-compliance relates to a known inability to satisfy the approval condition and is discussed in detail in Section 4 of this report.

This Construction Compliance Report is to be submitted to DPIE in accordance with the timeframes set out in the Compliance Monitoring and Reporting Schedule (within 26 weeks from the commencement of work) and is due no later than 23 August 2019.

2. Introduction

2.1 Project Name and Project Application Number

The name of this project is New Maitland Hospital.

Application Number: SSI 9022

2.2 Project Address

The site address of the development is Lot 7314 Metford Road, Metford NSW.

2.3 Project Phase, Name of Compliance Report

The project is currently in the Construction phase.

Stage 1 Construction includes predominantly vegetation clearing, implementation of environmental controls including a sediment basin, bulk earthworks, internal roads (unsealed), drainage works and piling.

In accordance with the Compliance Reporting Post Approval Requirements (June 2018), this report is the 'Construction Compliance Report'.

2.4 Compliance Reporting Period

The compliance reporting period for this report is for the duration from the submission of Pre-Construction Compliance Report (January 2019) to the submission of the Construction Compliance Report to the DPE (July 2019).

2.5 Project Activities Summary

In this reporting period (January to August 2019), the project has completed the following activities.

2.5.1 Authorities

Stage 1 SSI Approval and Conditions of Consent

Stage 1 Town Planning approval was received 7 November 2018. A number of pre-commencement activities have been completed to allow commencement of construction activities site. Notice of commencement was issued to DPIE on 8 February 2019.

Environmental Protection License

An EPL is required prior to any works commencing on site. The application was submitted by Multiplex to the EPA on 2 November 2018 was received of 6 February 2019 allowing works to commence. An amendment to EPL was received on the 3 March 2019 facilitating the import of construction material to site allowing works to further progress.

Crown Certificate

A Crown Construction Certificate (CC1) for Early Works was obtained by Multiplex from the Certifier on 8 February 2019.

A Second Crown Construction Certificate (CC1B) for the remaining scope of works Early Works was obtained by Multiplex from the Certifier on 8 April 2019.

Public Utilities

Multiplex have made application to public utility authorities including Ausgrid (power) and Hunter Water (water & sewer) and Jemena (gas) in order to confirm requirements for service connection.

2.5.2 Construction Activities

Construction activities have commenced on site.

All temporary environmental controls have been established on site. Site clearing and vegetation removal has been completed in the approved areas.

The site is securely fenced and safe access is controlled.

Bulk earthworks is mostly complete and construction of inground foundations has recently commenced.

Conduit and trenching for inground services (inc. water, sewer, gas, electrical and communications) is also underway.

Stormwater drainage works are mostly complete, but temporary road pavements have not yet commenced.

2.6 Development Footprint

The figure below provides reference to the building footprint, boundaries, construction and operational disturbance areas, and adjacent relevant land uses.



Figure 1: Area of influence – building footprint.

2.7 Key Environmental Management Personnel

HI has engaged MPX as the Principal Contractor.

The name and contact detail of the Key Environmental Management Personnel who are responsible for environmental management of the development as follows:

-) **Glenn Moore**
-) **Site Manager, Multiplex**
-) **M. 0414 299 229**
-) **E. Glenn.Moore@multiplex.global**

2.8 Site Inspection

A Site Inspection was conducted as part of the Compliance Report review. The inspection found the site to be generally well maintained. Sediment and erosion controls are in good order (SC3-C23). Project Sign with Key Personnel contact details were sighted (as per SC3-C2). Please see following photos of the NHM site.



Figure 1: Sediment basin to manage rainwater retention on site



Figure 2: Sediment control in place



Figure 3: Site notice as required by SC3-C2



Figure 4: Site entrance with clear footpath and no sign of mud tracking onto main road



Figure 5: Aerial Shot of the general site and construction activity.

3. Compliance Status Summary

The compliance status for each requirement or commitment has been assessed in accordance with the criteria in Table 2 of Compliance Reporting Post Approval Requirements (June 2018).

Table 1 - Compliance status descriptors

| Status | Description |
|--------------------|--|
| Compliant (C) | The proponent has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with. |
| Non-compliant (NC) | The proponent has identified a non-conformance with one or more of the elements of the requirement. |
| Not triggered (NT) | A requirement has an activation or timing trigger that has not been met at the time when the compliance assessment is undertaken, therefore an assessment of compliance is not relevant. |

In summary, the following is the outcome of the compliance assessment of a total of 96 Conditions of Approval:

-) **1 non-compliances**
-) **62 compliances**
-) **33 conditions that were not triggered**

(Note: In relation to the tally above whole conditions of consent have been used to generate the tally. i.e. where a condition contains part a), b), c) etc. this has been counted as one condition.)

Please refer to **Appendix A - Compliance Table for New Maitland Hospital** for detail assessment and commentary of the conditions.

4. Non-Compliances

A summary of non-compliant and recommended action as follow.

| Clause No. | Compliance Requirement | Status | Recommended Action / Comments |
|------------|---|------------------------|--|
| SC3-B6 | <p>Prior to the commencement of construction, the Proponent must submit to the satisfaction of the Certifying Authority a Site Audit Report and Section A Site Audit Statement for the relevant part of the site prepared by a NSW EPA accredited Site Auditor verifying the relevant part of the site is suitable for the hospital land use.</p> | <p>Non-Conformance</p> | <p>Site Auditor (JBS&G) advised that this consent condition cannot be achieved prior to the commencement of construction. The construction activities are required to remediate the site to enable the Site Audit Statement to be issued.</p> <p>It was also noted that the above information was provided to the Certifying Authority (Group DLA). The Certifier agreed that the Section B site audit statement with clarifications was suitable to satisfy the requirements of the Crown Certificate.</p> <p>It is noted that this is a Non-Conformance as the condition cannot be satisfied.</p> <p>Corrective Action: Nil. This condition cannot be met prior to construction (Part B), but should be considered and be met during construction (Part C)</p> |

5. Previous Report Actions

An Independent Audit was completed by NGH Environmental in May 2019 (report issued 5 June 2019). A number of Non-Conformances and Opportunities for Improvement were identified. Table below provides detail of the progress made to address each action and the outcome of each action.

| Clause No. | Non-Conformances | Response |
|---------------|---|--|
| SC3-B6 | <p>The EPA accredited Site Auditor has indicated that the Section A Site Audit Statement cannot be issued prior to the commencement of construction. Rather a Section B site audit statement was issued. A Section A could not be issued as the following conditions still applied:</p> <ol style="list-style-type: none"> 1. Prior to any potentially combustible materials being reused on site, a suitably qualified and experienced geotechnical engineer must certify that the materials are suitable to be reused. 2. All the sub-plans required under the RAP must be reviewed and accepted by site auditor prior to commencement of remediation works. 3. A Material Tracking Plan (MTP) is required to be reviewed and accepted by a site auditor prior to commencement of any remediation or civil works. 4. The validation report and long-term environmental management plan (LTEMP) must be reviewed and accepted by a site auditor prior to occupation. <p>Therefore, although a Section B site audit statement has been issued this does not comply with the condition that requires a Section A Site audit statement.</p> | <p>Z Noted, This condition cannot be met prior to construction (Part B), but should be considered and be met during construction (Part C)</p> <p>Z Recommended Action, As the findings of the Site auditor suggests that a Section A site auditor statement is not possible at this stage, the findings should be provided to the Department for discussion regarding a way forward.</p> <p>Z Implemented, Through the IAR, the Department has been notified of the findings. The Department reviewed the IAR and considered it to generally satisfy the requirements of the Approval (as outlined in their letter dated 4/7/2019).</p> |
| SC3-B11-a-vii | <p>The CEMP has not included the requirement that external lighting be in compliance with AS 4282-1997 Control of the obtrusive effects of outdoor lighting.</p> | <p>Z Recommended Action, The CEMP should be updated to include a compliance commitment regarding external lighting.</p> <p>Z Implemented, CEMP updated to include detail of external lighting in compliance with AS4282-1997 Control of the obtrusive effects of outdoor lighting (section 13.10 of CEMP)</p> |
| SC3- B13 e | <p>The Construction Traffic Management Plan does not include a specific Driver code of conduct, However Points (e) ii and (e) iv are covered by Section 7 Vehicle Movement Procedure.</p> <p>Some driver instruction is also included in the delivery driver instructions, a document that sites outside of this plan.</p> | <p>Z Recommended Action, The Construction Traffic Management plan should be updated to include a driver code of conduct that clearly directs drivers as to how they will achieve this condition.</p> <p>Z Implemented, CTMSP updated to include Drive Code of conduct to comply with this condition.</p> |

| Clause No. | Opportunity | Response |
|------------|---|--|
| SC3-C6 | The Noise and Vibration management plan did not include the respite hour detailed in the condition. The Multiplex NVMP should be updated to include the respite period for rock breaking activities. | Z Implemented , The Contractor's (Multiplex) Noise & Vibration Management Sub-Plan has been updated to include the respite hours nominated in the 9022 consent. |
| SC3-A17 | <p>Although the project website includes all the required documents, these are provided as one document in one link and as such information is not easily accessible. The project website should be updated so that the documents required under SC3-A17-a-ii are provided as separate links for 18-679 Audit 1 18 Reference Summary of finding and Recommendation ease of use.</p> <p>The Construction Traffic and Pedestrian Management plan on the website is Rev 5 and the current version is rev 6, this should be updated.</p> <p>It is noted that none of the conditions of approval specifically require monitoring, however in order to test that the plans are being complied with, monitoring is occurring (e.g. Dust gauges and water quality following dewatering of the basin). This data should be made available with the compliance reporting.</p> | <p>Z Noted, HI will review the accessibility of documents for future stages.</p> <p>Z Noted, evidence of monitoring and results will be included in the Compliance report required by condition SC3-B21 of SSI 9022</p> <p>Z Implemented, The Contractor's (Multiplex) updated Construction Environmental Management Plan (CEMP), including amended sub-plans has been updated to the Project website.</p> <p>Z Implemented, Monitoring results were provided and reviewed as part of the compliance review.</p> |
| SC3-B14 | Clause SC3 - B14 requires that the strategies to manage noise have been developed in consultation with the community and that the NVMP describe the community consultation undertaken to develop the strategies. It is accepted that the level of community engagement regarding noise management strategies is suitable for the early works, however it is expected that stage 2 of the works would require that noise management strategies are developed with a more targeted approach to involving the affected community. | Z Noted , Recommendation will be considered under the separate Stage 2 consent. No further action for SSI 9022. |
| SC3-B18 | The site inspection checklist should include a requirement for checking presence of weeds on site. | Z Implemented , The Contractor's (Multiplex) Environmental Site Inspection checklist has been updated to include monitoring & management of weeds. |

6. Incidents

There have been no recorded incidents to date.

7. Complaints

There have been no complaints to date.

8. Declaration

Please refer to **Appendix B – Compliance Report Declaration Form** for the New Maitland Hospital.

9. Appendices

Appendix A – Compliance Table for New Maitland Hospital

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---|---|---|---|--|----------------------|
| Obligation to Minimise Harm to the Environment | | | | | |
| SC2-A1 | In addition to meeting the specific performance measures and criteria in this approval, all reasonable and feasible measures must be implemented to prevent, and if prevention is not reasonable and feasible, minimise, any material harm to the environment that may result from the construction and operation of the development. | Note. | Comply with CEMP at all times during the construction of the development. | A review of the conditions and the implementation of the CEMPs and subplans found that all reasonable and feasible measures committed to were being implemented in accordance with these requirements. We note that as per our site inspection, the site was very well maintained with excellent on site environmental management. | Compliant |
| Terms of Approval | | | | | |
| SC2-A2 | The development may only be carried out: | Note. | N/A | | Compliant |
| SC2- A2-a | (a) in compliance with the conditions of this approval; | Note. | This Construction Compliance Report | | Compliant |
| SC2- A2-b | (b) in accordance with all written directions of the Planning Secretary; | Note. | N/A | | Compliant |
| SC2- A2-c | (c) generally in accordance with the EIS and Response to Submissions; | Note. | N/A | | Compliant |
| SC2- A2-d | (d) generally in accordance with the approved plans in the table below: | As the stage of the project is Stage 1 only, only the elements of the plans that define the site and earthworks and drainage works applied. | N/A | Whilst design detail has increased for construction, the design remains generally in accordance with the approved plans. | Compliant |
| | Drawings prepared by Fitzpatrick + Partners | N/A | N/A | | |
| | DA07 - 10 - CONCEPT PLAN - 06/06/18 | N/A | N/A | | |
| | DA08 - 10 - CONCEPT PLAN - LANDSCAPE ZONAL PLAN - 06/06/18 | N/A | N/A | | |
| | DA09 - 10 - CONCEPT PLAN - APZ | N/A | N/A | | |
| | DA10 - 10 - AREA OF INFLUENCE - BUILDING FOOTPRINT - 06/06/18 | N/A | N/A | | |
| | DA11 - 10 - AREA OF INFLUENCE - AERIAL OVERLAY - 06/06/18 | N/A | N/A | | |
| | DA12 - 10 - CONCEPT PLAN - VEHICULAR CIRCULATION - 06/06/18 | N/A | N/A | | |
| | DA13 - 10 - CONCEPT PLAN PEDESTRIAN CIRCULATION - 06/06/18 | N/A | N/A | | |
| | DA14 - 10 - ELEVATIONS - NORTH & SOUTH 06/06/18 | N/A | N/A | | |
| | DA15 - 10 - ELEVATIONS - EAST AND WEST- 06/06/18 | N/A | N/A | | |
| | DA22 - 10 - CONCEPT PLAN - SETBACKS - 06/06/18 | N/A | N/A | | |
| SC2-A3 | Consistent with the requirements in this approval, the Planning Secretary may make written directions to the | N/A | N/A | | |
| SC2- A3-a | (a) the content of any strategy, study, system, plan, program, review, audit, notification, report or correspondence submitted under or otherwise made in relation to this approval, including those that are required to be, and have been, approved by the Planning Secretary; and | N/A | N/A | | Not-Triggered |
| SC2- A3-b | (b) the implementation of any actions or measures contained in any such document referred to in (a) above. | N/A | N/A | | Not-Triggered |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|--|--|---|--|--|----------------------|
| SC2-A4 | A4. The conditions of this approval and directions of the Planning Secretary prevail to the extent of any inconsistency, ambiguity or conflict between them and a document listed in condition A2(c). In the event of an inconsistency, ambiguity or conflict between any of the documents listed in condition A2(c), the most recent document prevails to the extent of the inconsistency, ambiguity or conflict. | N/A | N/A | | Not-Triggered |
| Vegetative Buffer | | | | | |
| SC2-A5 | A vegetation buffer of a minimum depth of five metres must be provided along the southern boundary outside of any Asset Protection Zone. Revised plans must be submitted to the Planning Secretary demonstrating the provision of the vegetative buffer, any associated amendments reconfiguring the southern building envelope and the Asset Protection Zone. | 5 December 2018 evidence of the vegetative buffer on plans was submitted to the department. The department responded on the 10/12/18. The buffer was drawn on the architectural site plan BVN-ARH-01A-AXO-002 | Submit plan to the Planning Secretary demonstrating the vegetative buffer. | DPIE issued Letter of Compliance on 10/12/2018. | Compliant |
| Limits of Approval | | | | | |
| SC2-A6 | This approval lapses five years after the date of approval unless the works associated with the development have | N/A | N/A | | Not-Triggered |
| Planning Secretary as Moderator | | | | | |
| SC2-A7 | In the event of a dispute between the Proponent and a public authority, in relation to an applicable requirement in this approval or relevant matter relating to the Development, either party may refer the matter to the Planning Secretary for resolution. The Planning Secretary's resolution of the matter must be binding on the parties. | N/A | N/A | | Not-Triggered |
| Legal Notices | | | | | |
| SC2-A8 | Any advice or notice to the approval authority must be served on the Planning Secretary. | N/A | N/A | | Not-Triggered |
| ADVISORY NOTES | | | | | |
| SC2- AN1 | All licences, permits, approvals and consents as required by law must be obtained and maintained as required for the development. No condition of this approval removes any obligation to obtain, renew or comply with such licences, permits, approvals and consents. | Review of EPL | Comply with EPL | The Contractor (Multiplex) holds an Environmental Protection Licence. EPL No 21199 | Compliant |
| PART B REQUIREMENTS FOR FUTURE STAGES | | | | | |
| Built Form and Urban Design | | | | | |
| SC2-B1 | To ensure that a high quality urban design and architectural response is achieved, the site layout and architectural design of the NMH must have regard to, and be generally consistent with, the concept proposal and the Architectural Design Statement prepared by fitzpatrick + partners in the EIS, and the following: | Site layout drawings Site visit | | Site layout in terms of boundary and clearing area is consistent with these documents, and generally unchanged from Fitzpatrick & Partners Architect Design Statement. | Compliant |
| SC2- B1-a | • demonstrating the primary objectives as set out in the Architectural Design Statement are incorporated into the | | | | Not-Triggered |
| SC2- B1-b | • integrating local indigenous identity and culture in the design. | | | | Not-Triggered |
| SC2- B1-c | • incorporating measures to reduce water and energy usage. | | | | Not-Triggered |
| SC2- B1-d | • the suitability of the offset distances between east and west wings of the hospital building. | | | | Not-Triggered |
| SC2- B1-e | • safe pedestrian circulation. | | | | Not-Triggered |
| SC2- B1-f | • incorporating the natural setting in the design. | | | | Not-Triggered |

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|--|--|--|-------------------------------|--|-----------------------------|
| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
| SC2- B1-g | <ul style="list-style-type: none"> integrating landscaping with car parking areas. | | | | Not-Triggered |
| SC2- B1-h | <ul style="list-style-type: none"> connectivity between the hospital building and landscaped areas for patients, staff and visitors. | | | | Not-Triggered |
| SC2- B1-i | <ul style="list-style-type: none"> heritage interpretation. | | | | Not-Triggered |
| Biodiversity | | | | | |
| SC2-B2 | The SSI application(s) for the detailed design and construction of the NMH must demonstrate that the proposal is consistent with the endorsed Biodiversity Assessment Report (BAR) and Biodiversity Offset Strategy (BOS). | Clearing boundaries are marked on site. Review of drawings provided in Appendix A Figure 1 Building footprint (DA 10) Figure 2 (DA11), Figure 3 (Design report tree clearing area) | BAR and BOS. | This condition is Stage 2 related. Therefore, it is Not-Triggered. | Not-Triggered |
| Traffic and Transport | | | | | |
| SC2-B3 | The SSI application(s) for the detailed design and construction of the NMH must be accompanied by a detailed assessment of the traffic and transport impacts associated with the NMH on the surrounding road network and intersection capacity, and must detail provisions demonstrating that sufficient access and car parking has been provided having regard to RMS's Guide to Traffic Generating Developments, and details to promote non-car travel modes. The traffic and transport impact assessment must also have specific regard to: | | | | Not-Triggered |
| SC2- B3-a | <ul style="list-style-type: none"> cumulative traffic impacts, in particular the Stockland Green Hills Shopping Centre development, and undertaking additional analysis of New England Highway between Mitchell Road and Chisholm Road (inclusive of the New England Highway/Chelmsford Road intersection). | | | | Not-Triggered |
| SC2- B3-b | <ul style="list-style-type: none"> the scope and timing of required road and intersection upgrades within the surrounding road network, including but not limited to the Chelmsford Drive/Metford Road and Raymond Terrace Road/Metford Road intersections. | | | | Not-Triggered |
| SC2- B3-c | <ul style="list-style-type: none"> preparing a pedestrian access plan, including from Victoria Street Railway Station and nearest bus stops. | | | | Not-Triggered |
| SC2- B3-d | <ul style="list-style-type: none"> the design of the proposed on-site car parking and on-street car parking impacts from any parking fee structure system, through detailed parking analysis of similar hospital sites. | | | | Not-Triggered |
| SC2- B3-e | <ul style="list-style-type: none"> potential traffic impacts on businesses fronting Metford Road, between Fieldsend Street and Chelmsford Drive. | | | | Not-Triggered |
| Residential Amenity Impacts | | | | | |
| SC2-B4 | Details are to be provided in the SSI application(s) for the detailed design and construction of the NMH | | | | Not-Triggered |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---|--|--|---|---|----------------------|
| SC2-B5 | The SSI application(s) for the detailed design and construction of the NMH must be accompanied by a detailed noise and vibration impact assessment prepared by a suitably qualified person, which details the main construction and operational noise and vibration sources and activities, including future mechanical plant. Details are also to be included outlining all feasible and reasonable noise and vibration mitigation and management measures. | Construction Noise and vibration impact assessment and management plan prepared by acoustic logic. Stage 2 noise and vibration assessment prepared by Acoustic Logic dated 5/4/19. | Noise and vibration impact assessment to be prepared by a suitably qualified person. Ensure the report details the main construction and operational noise and vibration sources and activities, including future mechanical plant. | Construction noise and vibration impact assessment and management has been prepared by Acoustic Logic. This report deals with construction for the Stage 1 only. Relevant measures from this plan are being implemented on site – refer also to SC3-B14 for notes on implementation. No complaints have been made in relation to noise vibration. | Compliant |
| SC2-B6 | The noise and vibration impact assessment, as required by condition B5 of Schedule 2, must demonstrate that the location and operation of the helipad has been designed to minimise noise impacts on sensitive land uses. | Stage 2 noise and vibration assessment | Noise and vibration impact assessment to take into account the location and operation of the helipad to minimise noise impacts on sensitive lands. The Aviation Report will also conduct an assessment to comply with this condition. | Stage 2 noise and vibration assessment prepared by acoustic logic included a statement that the helipad is located as far as possible from the sensitive receivers to the south. This Stage 2 related, therefore it is Not-Triggered. | Not-Triggered |
| Landscaping | | | | | |
| SC2-B7 | The SSI application(s) for the detailed design and construction of the NMH must be accompanied by a landscape plan for the future hospital campus, including incorporating the vegetative buffer required by condition A5 of Schedule 2. | | | | Not-Triggered |
| Bushfire Protection | | | | | |
| SC2-B8 | The design of the NMH, including all Asset Protection Zones and other bushfire protection measures, must demonstrate satisfactory compliance with the relevant provisions of Planning for Bushfire Protection 2006. | | | | Not-Triggered |
| Ecologically Sustainable Development | | | | | |
| SC2-B9 | The SSI application(s) for the detailed design and construction of the NMH development must demonstrate how the principles of ESD have been incorporated into the design, construction and on-going operation of the hospital. | | | | Not-Triggered |
| SC2- B10 | The SSI application(s) for the detailed design and construction of the NMH development must include a framework for how the future development will be designed to consider and reflect national best practice sustainable building principles to improve environmental performance and reduce ecological impact. This should be based on a materiality assessment and include waste reduction design measures, future proofing, use of sustainable and low-carbon materials, energy and water efficient design (including water sensitive urban design) and technology and use of renewable energy. | | | | Not-Triggered |
| SC2- B11 | The SSI application(s) for the detailed design and construction of the NMH development must include preliminary consideration of building performance and mitigation of climate change, including consideration of Green Star Performance. | | | | Not-Triggered |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---|---|---|---|--|----------------------|
| SC2- B12 | The SSI application(s) for the detailed design and construction of the NMH development must provide a statement regarding how the design of the future development is responsive to the CSIRO projected impacts of climate change, specifically: | | | | Not-Triggered |
| SC2- B12-a | (a) hotter days and more frequent heatwave events; | | | | Not-Triggered |
| SC2- B12-b | (b) extended drought periods; | | | | Not-Triggered |
| SC2- B12-c | (c) more extreme rainfall events; | | | | Not-Triggered |
| SC2- B12-d | (d) gustier wind conditions; and | | | | Not-Triggered |
| SC2- B12-e | (e) how these will inform landscape design, material selection and social equity aspects (respite/shelter areas). | | | | Not-Triggered |
| SCHEDULE 3 | | | | | |
| CONDITIONS OF APPROVAL FOR STAGE 1 SITE CLEARANCE AND PREPARATORY WORKS PART A ADMINISTRATIVE CONDITIONS | | | | | |
| Obligation to Minimise Harm to the Environment | | | | | |
| SC3-A1 | In addition to meeting the specific performance measures and criteria in this approval, all reasonable and feasible measures must be implemented to prevent, and if prevention is not reasonable and feasible, minimise, any material harm to the environment that may result from the construction and operation of the development. | Review of CEMP and Sub Plans Records, Site inspection | Comply with CEMP at all times during the construction of the development. | Noted. In addition the CEMP and sub plans include the requirement for all reasonable and feasible measure be implemented to minimise harm. Specifically the Incident response management plan includes specific measures around prevention and implementation of all reasonable and feasible measures as does the contamination management plan. Soil and Water management is identified as a key risk on the site. GHD have been engaged to review erosion and sediment control measures. A review of the ESCP prepared by Daracon shows that further controls than those recommended in concept ESCP in the SWMP have been implemented on site | Compliant |
| Terms of Approval | | | | | |
| SC3-A2 | A2. The development may only be carried out: | | | | |
| SC3- A2-a | (a) in compliance with the conditions of this approval; | CEMP, subplans, project records, site visit | The Construction Compliance Report | This compliance review found that the Stage 1 are being carried in compliance with the conditions | Compliant |
| SC3- A2-b | (b) in accordance with all written directions of the Planning Secretary; | | | | Compliant |
| SC3- A2-c | (c) generally in accordance with the EIS and Response to Submissions; | CEMP, subplans, project records, site visit | CEMP, subplans, project records, site visit | The compliance review found that the Stage 1 are being carried in compliance with the EIS and response to Submissions | Compliant |
| SC3- A2-d | (d) generally in accordance with the approved plans in the table below: | Review of approved plans & site visit. | Comply with Approved plans | This compliance review found that the Stage 1 design are generally in compliance with approved plans. | Compliant |
| | Drawing prepared by Fitzpatric + Partners | | | | Not-Triggered |
| | DA21 - STAGE 1 Stage 1 SITE PLAN - 06/06/18 | | | | Not-Triggered |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|-----------|--|---|------------------------|--|----------------------|
| | Drawing prepared by Wood & Grieve Engineers | | | | Not-Triggered |
| | C1-100-002 - EARLYWORKS PLAN GENERAL ARRANGEMENT - 24/04/18 | | | | Not-Triggered |
| SC3-A3 | A3. Consistent with the requirements in this approval, the Planning Secretary may make written directions to the | | | | Not-Triggered |
| SC3- A3-a | (a) the content of any strategy, study, system, plan, program, review, audit, notification, report or correspondence | | | | Not-Triggered |
| SC3- A3-b | (b) the implementation of any actions or measures contained in any such document referred to in (a) above. | | | | Not-Triggered |
| SC3-A4 | The conditions of this approval and directions of the Planning Secretary prevail to the extent of any inconsistency, | | | | Not-Triggered |
| | Limits of Approval | | | | |
| SC3-A5 | This approval lapses five years after the date of approval unless the works associated with the development have | | | | Not-Triggered |
| | Planning Secretary as Moderator | | | | |
| SC3-A6 | In the event of a dispute between the Proponent and a public authority, in relation to an applicable requirement in | | | | Not-Triggered |
| | Long Service Levy | | | | |
| SC3-A7 | For work costing \$25,000 or more, a Long Service Levy must be paid. For further information please contact the Long Service Payments Corporation Helpline on 131 441. | Evidence of payment of the LSL dated 27/11/2019. | Payment of LSL | LSL received on 27/11/2019. | Compliant |
| | Legal Notices | | | | |
| SC3-A8 | A8. Any advice or notice to the approval authority must be served on the Planning Secretary. | | | | Not-Triggered |
| | Evidence of Consultation | | | | |
| SC3-A9 | Where conditions of this approval require consultation with an identified party, the Proponent must: | | | | Compliant |

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|--|--|---|---|--|----------------------|
| SC3- A9-a | (a) consult with the relevant party prior to submitting the subject document to the Certifying Authority for approval; and | Email correspondence with relevant party prior to submission of document. | Review of email correspondence with relevant party prior to submission of document. | Email correspondence with consultants as evidence of consultation with identified parties were found as per the following conditions: SC3-B5 - Consultation with Ausgrid regarding temporary connection for Stage 1 Works was undertaken on 20/12/2018. SC3-B13 - Consultation with Maitland City Council consultation was undertaken on the 27/11/2018 with some revisions undertaken and MCC approving plans on the 7/12/2018. Consultation was also undertaken with RMS – email evidence dated 12/12/2018, 13/01/2019 with comments provided. SC-B14 - A letter was sent on 30/11/2018 to the affected community. SC3-B16 - CSWMSP was prepared by GHD. Consultation was made with the council on the 20/12/2018 as evidenced by an email to the Maitland City Council (MCC). A response from MCC was received on the same day. SC3-B17 - The Aboriginal Cultural Heritage Management Sub-Plan was prepared in consultation with the relevant RAPs. This is detailed in the Executive Summary of the ACHMSP. SC3-B20 - Evidence of consultation in the appendix of CTPMSP. | Compliant |
| SC3- A9-b | (b) provide details of the consultation undertaken including: | As above | As above | As above | Compliant |
| SC3- A9-b-i | (i) the outcome of that consultation, matters resolved and unresolved; and | As above | As above | The outcome of consultation was included in relevant Sub-Plan. | Compliant |
| SC3- A9-b-ii | (ii) details of any disagreement remaining between the party consulted and the Proponent and how the Proponent has addressed the matters not resolved. | N/A | N/A | There were no disagreement between the consulted party and the proponent. | Not-Triggered |
| Staging, Combining and Updating Strategies, Plans or Programs | | | | | |
| SC3- A10 | AWith the approval of the Planning Secretary, the Proponent may: | | | | Not-Triggered |
| SC3- A10-a | (a) prepare and submit any strategy, plan or program required by this approval on a staged basis (if a clear description is provided as to the specific stage and scope of the development to which the strategy, plan or program applies, the relationship of the stage to any future stages and the trigger for updating the strategy, plan or program); | | | | Not-Triggered |

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|--|--|---|---|--|----------------------|
| SC3- A10-b | (b) combine any strategy, plan or program required by this approval (if a clear relationship is demonstrated between the strategies, plans or programs that are proposed to be combined); and | | | | Not-Triggered |
| SC3- A10-c | (c) update any strategy, plan or program required by this approval (to ensure the strategies, plans and programs required under this approval are updated on a regular basis and incorporate additional measures or amendments to improve the environmental performance of the development). | Email correspondence to DPIE for the updated strategy, plan or program required by this approval. | Review of Email correspondence to DPIE for the updated strategy, plan or program required by this approval. | Updated Compliance programme issued on 3/07/2019. | Compliant |
| SC3- A11 | A11. If the Planning Secretary agrees, a strategy, plan or program may be staged or updated without consultation being undertaken with all parties required to be consulted in the relevant condition in this approval. | As above | As above | Approval of revised programme issue by DPIE on the same day. | Compliant |
| SC3- A12 | A12. If approved by the Planning Secretary, updated strategies, plans or programs supersede the previous versions of them and must be implemented in accordance with the condition that requires the strategy, plan or program. | As above | As above | Updated document to be uploaded to public Website in accordance with SC3 A17. | Compliant |
| Structural Adequacy | | | | | |
| SC3- A13 | All new buildings and structures, and any alterations or additions to existing buildings and structures, that are part of the development, must be constructed in accordance with the relevant requirements of the BCA. | Crown Building Works Certificate for Stage 1 (January 2019) | Crown Building Works Certificate | Group DLA is the Certifying Authority. They have issued CC1 on 23/01/2019 and CC1B on 8/04/2019 confirming that works approved for construction comply with the relevant BCA. | Compliant |
| Design and Construction for Bush Fire | | | | | |
| SC3- A14 | Water, electricity and gas are to comply with sections 4.1.3 and 4.2.7 of <i>Planning for Bush Fire Protection 2006</i> . | Bushfire Assessment Report (Newcastle Bushfire consulting 2/4/19) | Service designers to certify where applicable to their discipline. Bushfire consultant to certify where applicable to their discipline. | The Hydraulic Design consultant (Warren Smith Partners) and the Electrical Design consultant (JHA) have issued design certificate dated 28/2/2019 and 26/3/2019 respectively to confirm that Water, Gas and Electrical complies with relevant fire protection act to this condition. | Compliant |
| Applicability of Guidelines | | | | | |
| SC3- A15 | References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, Standards or policies in the form they are in as at the date of this approval. However, consistent with the conditions of this approval and without altering any limits or criteria in this approval, the Planning Secretary may, when issuing directions under this approval in respect of ongoing monitoring and management obligations, require compliance with an updated or revised version of such a guideline, protocol, Standard or policy, or a replacement of them. | | | | Not-Triggered |
| Monitoring and Environmental Audits | | | | | |

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|------------------------------|--|--|---|---|----------------------|
| SC3- A16 | Any condition of this approval that requires the carrying out of monitoring or an environmental audit, whether directly or by way of a plan, strategy or program, is taken to be a condition requiring monitoring or an environmental audit under Division 9.4 of Part 9 of the EP&A Act. This includes conditions in respect of incident notification, reporting and response, non- compliance notification, compliance reporting and independent auditing. | Monitoring and Environmental Audit was undertaken in accordance with the requirements of Division 9.4 of Part 9 of the EP&A Act. | Independent Environmental Audits to be carried out in accordance with the relevant legislation. | - Air & Dust Monitoring Summary and Environmental Reports are being produced on a monthly basis. - An Independent Environmental Audit was conducted on 15/05/2019. The outcome of the IEA was submitted to DPIE on 7/06/2019. Acceptance of the IEA was issued by DPIE on 4/07/2019. | Compliant |
| Access to Information | | | | | |
| SC3- A17 | At least 48 hours before the commencement of construction until the completion of all works under this approval, or such other time as agreed by the Planning Secretary, the Proponent must: | Website http://newmaitlandhospital.health.nsw.gov.au/ | Refer to specific points below. | Notice of Commencement was issued on the 8/2/2019. The project document was made available on the Public Website on the 19/2/2019, approx. 72 hours prior to construction commencing on 23/2/2019. | Compliant |
| SC3- A17-a | (a) make the following information and documents (as they are obtained or approved) publicly available on its website: | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | | |
| SC3- A17-a-i | (i) the documents referred to in condition A2 of this approval; | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | These documents are available on the website | Compliant |
| SC3- A17-a-ii | (ii) all current statutory approvals for the development; | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | These documents are available on the website | Compliant |
| SC3- A17-a-iii | (iii) all approved strategies, plans and programs required under the conditions of this approval; | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | These documents are available on the website | Compliant |
| SC3- A17-a-iii | (iv) regular reporting on the environmental performance of the development in accordance with the reporting arrangements in any plans or programs approved under the conditions of this approval; | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | Compliance reporting will be made publicly available following the first compliance report | Compliant |
| SC3- A17-a-v | (v) a comprehensive summary of the monitoring results of the development, reported in accordance with the specifications in any conditions of this approval, or any approved plans and programs; | Website http://newmaitlandhospital.health.nsw.gov.au/ Plans and records. | Make information publicly available on website. | These documents are available on the website | Compliant |
| SC3- A17-a-vi | (vi) a summary of the current stage and progress of the development; contact details to enquire about the development or to make a complaint; a complaints register, updated monthly; | Website http://newmaitlandhospital.health.nsw.gov.au/ | Make information publicly available on website. | These documents are available on the website. No complaints were received to date. | Compliant |

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|---|--|--|---|---|----------------------|
| SC3- A17-a-vii | (vii) audit reports prepared as part of any independent environmental audit of the development and the Proponent's response to the recommendations in any audit report; | Noted. | Evidence of an Independent Environmental Audit report | An Independent Environmental Audit was conducted on 15/05/2019. The outcome of the IEA was submitted to DPIE on 7/06/2019. Acceptance of the IEA was issued by DPIE on 4/07/2019. | Compliant |
| SC3- A17-a-viii | (viii) any other matter required by the Planning Secretary; and | Noted. | N/A | | Not-Triggered |
| SC3- A17-b | (b) keep such information up to date, to the satisfaction of the Planning Secretary. | Noted. | N/A | | Compliant |
| SC3- A18 | The Proponent must ensure that all of its employees, contractors (and their sub-contractors) are made aware of, and are instructed to comply with, the conditions of this approval relevant to activities they carry out in respect of the development. | Contract documents to main subcontractor – Daracon Project Induction | Include details of compliance in project induction. All persons on site are required to do a project specific induction prior to working on site. | Relevant requirements are included in the induction. A copy of the conditions are available as a printed copy in the induction room (sighted). The location of these conditions is noted in the induction. Also included in the induction are: <ul style="list-style-type: none"> •Construction boundary •Working hours •Complaints •Traffic arrangements and access •Emergency and incident response •BC requirements •Relevant requirements of the EMP and subplans •Unexpected finds – contamination and Aboriginal heritage | Compliant |
| ADVISORY NOTES | | | | | |
| SC3- AN1 | All licences, permits, approvals and consents as required by law must be obtained and maintained as | EPL | EPL | The Contractor - Multiplex holds an EPL. | Compliant |
| PART B PRIOR TO COMMENCEMENT OF CONSTRUCTION | | | | | |
| Notification of Commencement | | | | | |
| SC3-B1 | The Department must be notified in writing of the dates of commencement of physical work at least 48 hours before those dates. If the construction of the development is to be staged, the Department must be notified in writing at least 48 hours before the commencement of each stage, of the date of commencement and the development to be carried out in that stage. | Letter of notification to the department | Notifications to be sent to department as required. | A letter to the department was issued on the 8/2/2019 to notify the date of commencement. | Compliant |
| Certified Drawings | | | | | |

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|---------------------|--|--|---|---|----------------------|
| SC3-B2 | Prior to the commencement of construction, the Proponent must submit to the satisfaction of the Certifying Authority structural drawings prepared and signed by a suitably qualified practising Structural Engineer that demonstrates compliance with: | Structural and Civil design consultant TTW Certification (December 2018) | Submit drawings to the Certifier | Structural and Civil design consultant TTW have certified that the Stage 1 civil and stormwater design comply with the: <ul style="list-style-type: none"> •BCA 2016 (NCC) Volume 3 Part D2 - Surface and Subsurface Drainage Systems •BCA 2016 (NCC) Volume 1 Part F1.1- Stormwater drainage •BCA 2016 (NCC) Amendment 1 •AS 2890.1 - 2004 Off Street Car Parking •AS 2890.2 - 2002 Off Street Car Parking – Commercial Vehicle Facilities •AS 3500.3 - 2015 Plumbing and Drainage - Stormwater Drainage •AS 3725 - 2007 Design for installation of buried pipes •AS 3600 – 2009 Concrete Structures •Australian Rainfall and Runoff 1997 •NSW Department of Housing Managing Urban Stormwater (Landcom Blue Book) •Austroads Pavement Design 1992 | Compliant |
| SC3- B2-a | (a) the relevant clauses of the BCA; and | As above | Design Statement from suitably qualified practicing engineer that demonstrates compliance with the relevant clauses of the BCA. | As above | Compliant |
| SC3- B2-b | (b) this approval. | As above | Design Statement from suitably qualified practicing engineer that demonstrates compliance relevant conditions of this approval. | As above | Compliant |
| Biodiversity | | | | | |
| SC3-B3 | Prior to the removal of any vegetation, a revised BAR and BOS must be submitted and approved by the Planning Secretary, addressing the vegetative buffer requirements in condition A5 of Schedule 2. The revised BAR and BOS must be prepared in accordance with the OEH's <i>Framework for Biodiversity Assessment (FBA)</i> and the <i>Biobanking Assessment Methodology 2014 (BBAM)</i> . | BAR and BOS | HI to amend BAR & BOS accordingly. | A revised BAR and BOS was not required as the vegetative buffer in Condition A5 did not require any vegetation removal. The Department has noted that this condition has been satisfied in its letter dated 10/12/2018. | Not-Triggered |

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| SC3-B4 | Within 12 months of commencement of the vegetation clearance works, unless otherwise approved by the Planning Secretary, the Proponent must submit evidence that the BOS has been implemented. | Preclearing surveys undertaken by Wildthing (Nov 2018) and Clearing Supervision (Wildthing February 2019) | HI to amend BAR & BOS accordingly. | Clearing commenced in February 2019 and therefore this has not been triggered | Not-Triggered |
| Protection of Public Infrastructure | | | | | |
| SC3-B5 | Before the commencement of construction, the Proponent must: | | | | |
| SC3- B5-a | (a) consult with the relevant owner and provider of services that are likely to be affected by the development to make suitable arrangements for access to, diversion, protection and support of the affected infrastructure; | Emails with service providers | Submit service connection applications to the relevant services providers. | Ausgrid - Consultation undertaken for power connection. Application made on 12/11/2018. Jemena - consultation undertaken for Gas connection. Application made on 24/5/2019. Hunter Water Corporation - consultation undertaken for water & sewer connection. Application made on 5/12/2018. Telstra/NBN - consultation undertaken for telecommunication connection. Application made on 29/11/2018. | Compliant |
| SC3- B5-b | (b) prepare a dilapidation report identifying the condition of all public infrastructure in the vicinity of the site (including roads, gutters and footpaths); and | Dilapidation reports (Douglas partners (November 2018) | Prepare dilapidation report. | Dilapidation Report prepared by Douglas Partners, dated 9 November 2018. | Compliant |
| SC3- B5-c | (c) submit a copy of the dilapidation report to the Planning Secretary, Certifying Authority and Council | Email evidence | Submit application to the Department, the Certifier and council. | The above report was submitted to the MCC on the 7/1/2019 and to the DPIE on the 22/1/2019. It was sent to the certifier on the 11 January 2019 as evidenced by an email on that date. | Compliant |
| Site Contamination | | | | | |

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|---|--|--|--|--|----------------------|
| SC3-B6 | Prior to the commencement of construction, the Proponent must submit to the satisfaction of the Certifying Authority a Site Audit Report and Section A Site Audit Statement for the relevant part of the site prepared by a NSW EPA accredited Site Auditor verifying the relevant part of the site is suitable for the hospital land use. | Site Audit statement No. 0503-1612-1 dated 29 January 2018 Email correspondence between CBRE and JBSG (Site auditors) 27/11/2018) | HI to obtain Site Audit Report and Statement. Site Audit Statement from Auditor to verify the relevant part of the site is suitable for hospital land use. | The EPA accredited Site Auditor has indicated that the Section A Site Audit Statement cannot be issued prior to the commencement of construction. Rather a Section B Site Audits Statement was issued. A Section A could not be issued as the following conditions still applied, Noted that this is a Non-Conformance as the condition cannot be satisfied. Site Auditor (JBS&G) advised that this consent condition cannot be achieved prior to the commencement of construction. The construction activities are required to remediate the site to enable the Site Audit Statement to be issued. The Certifier (DLA) accepts this determination. This is accepted by the Department following the Independent Audit. | Non-Compliant |
| Unexpected Contamination Procedure | | | | | |
| SC3-B7 | Prior to the commencement of earthworks, the Proponent must prepare an unexpected contamination procedure to ensure that potentially contaminated material is appropriately managed. The procedure must form part of the of the CEMP in accordance with condition B11 and where any material identified as contaminated is to be disposed off-site, the disposal location and results of testing must be submitted to the Planning Secretary prior to its removal from the site. | Contaminated Land Management Plan (CLMP) | Insert unexpected finds protocol into CEMP. | A unexpected contamination procedure has been prepared as part of the CEMP. A contaminated land management plan has been prepared and appendix 1 of this plan includes an unexpected finds procedure. Unexpected Contamination notice was issued to the Department on 31 May 2019 for contaminated material identified on site. | Compliant |
| Utilities and Services | | | | | |
| SC3-B8 | Before the construction of any utility works associated with the development, the Proponent must obtain relevant approvals from service providers. | Email correspondence | Obtain relevant approvals from service providers. | Ausgrid - Consultation undertaken for power connection. Application made on 12/11/2018. Jemena - consultation undertaken for Gas connection. Application made on 24/5/2019. Hunter Water Corporation - consultation undertaken for water & sewer connection. Application made on 5/12/2018. Telstra/NBN - consultation undertaken for telecommunication connection. Application made on 29/11/2018. | Compliant |
| Community Communication Strategy | | | | | |

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|--------------|---|---|---|---|----------------------|
| SC3-B9 | A Community Communication Strategy must be prepared to provide mechanisms to facilitate communication between the Proponent, the relevant Council and the community (including adjoining affected landowners and businesses, and others directly impacted by the development), during the design and construction of the development and for a minimum of 12 months following the completion of construction. The Community Communication Strategy must: | Community communications strategy (March 2019) | HI to prepare Community Communication Strategy to facilitate communication with the relevant stakeholders. | Evidence of compliance found in the body of CCS. See item a - f below. | Compliant |
| SC3- B9-a | (a) identify people to be consulted during the design and construction phases; | CCS Section 2 | HI to prepare Community Communication Strategy to identify people to be consulted during the design and construction phase. | CCS Section 2 identifies stakeholders | Compliant |
| SC3- B9-b | (b) set out procedures and mechanisms for the regular distribution of accessible information about or relevant to the development; | CCS Section 3 | HI to prepare Community Communication Strategy to set out procedures and mechanisms for regular distribution of accessible information. | CCS Section 3 identifies communication tools | Compliant |
| SC3- B9-c | (c) provide for the formation of community-based forums, if required, that focus on key environmental management issues for the development; | CCS Section 3 | HI to prepare Community Communication Strategy to provide for the formation of community based forums if required. | CCS Section 3 provides for forums or reference groups | Compliant |
| SC3- B9-d | (d) set out procedures and mechanisms: | CCS Section 4 | HI to prepare Community Communication Strategy to set out procedures and mechanisms. | CCS Section 4 provides procedures and mechanism | Compliant |
| SC3- B9-d-i | (i) through which the community can discuss or provide feedback to the Proponent; | CCS Section 3 | HI to prepare Community Communication Strategy to set out procedures and mechanisms for community discussion. | CCS Section 3 identifies feedback mechanisms | Compliant |
| SC3- B9-d-ii | (ii) through which the Proponent will respond to enquiries or feedback from the community; and | CCS Section 4.2 | HI to prepare Community Communication Strategy to set out procedures and mechanisms for enquiries or feedback. | CCS Section 4.2 broadly outlines that a system will be developed to record and respond to issues /enquiries | Compliant |

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| SC3- B9-d-iii | (iii) to resolve any issues and mediate any disputes that may arise in relation to construction and operation of the development, including disputes regarding rectification or compensation. | CCS Section 4.2 | HI to prepare Community Communication Strategy to set out procedures and mechanisms for issue resolution. | CCS Section 4.2 broadly outlines that a system will be developed to record and respond to issues /enquiries | Compliant |
| SC3- B9-e | The Community Communication Strategy must be submitted to the Planning Secretary for approval no later than two weeks before the commencement of any work. | Email evidence between DPIE and Ethos Urban | HI to submit Community Communication Strategy to department. | The community consultation strategy (CCS) was sent to the planning secretary on the 7 January 2019 by Ethos Urban on behalf of HI, which was prior to the two weeks pre-commencement period. | Compliant |
| SC3- B9-f | Work for the purposes of the development must not commence until the Community Communication Strategy has been approved by the Planning Secretary, or within another timeframe agreed with the Planning Secretary. | As above | As above | As above | Compliant |
| Outdoor Lighting | | | | | |
| SC3- B10 | Prior to commencement of construction, all outdoor lighting within the site must comply with AS 1158.3.1 :2005 Lighting for roads and public spaces — Pedestrian area (Category P) lighting — Performance and design requirements and AS 4282-1997 Control of the obtrusive effects of outdoor lighting. Details demonstrating compliance with these requirements must be submitted to the satisfaction of the Certifying Authority. | Design statement - JHA Consulting Engineers Pty Ltd (15/11/2018) CCA1 rev A | Submit design statement from electrical designer confirming compliance with the mentioned Australian Standard | A design statement dated 15/11/2018 notes that all outdoor lighting complies with 1158.3.1 :2005 Lighting for roads and public spaces — Pedestrian area (Category P) lighting — Performance and design requirements and AS 4282-1997 Control of the obtrusive effects of outdoor lighting. These details were submitted to the certifying on the 11 January - group DLA and formed part of Crown Certificate 1A (CC1A) rev A issued on the 18/1/2019 | Compliant |
| Construction Environmental Management Plan | | | | | |
| SC3- B11 | Prior to commencement of construction, the Proponent must prepare a Construction Environmental Management Plan (CEMP) and it must include, but not be limited to, the following: | CEMP prepared by Multiplex Constructions (Rev 5 – 28 February 2019) | Prepare CEMP to comply with the below requirements. | The following conditions were addressed in the body of Multiplex's CEMP. | Compliant |
| SC3- B11-a | (a) | As follow. | Prepare CEMP to detail the below items. | | |
| SC3- B11-a-i | (i) hours of work; | CEMP | Prepare CEMP to detail the hours of work in accordance with these consent approval conditions. | Hours of work outlined within Section 13.1.2 of the CEMP and detailed within CNVM sub-plan (Appendix 8 of CEMP) | Compliant |
| SC3- B11-a-ii | (ii) 24-hour contact details of site manager; | CEMP Section 1.1 | Prepare CEMP to detail the 24 hour contact details of the site manager. | Contact details for Site Manager detailed in Section 1.1 of Project Incident Response Management sub-plan (Appendix 14 of CEMP) | Compliant |

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| SC3- B11-a-iii | (iii) management of dust and odour to protect the amenity of the neighbourhood; | Section 13.2 of CEMP | Prepare CEMP to detail the management of dust and odour to protect the amenity of the neighbourhood. | Dust and Air Quality Management sub-plan (Section 13.2 of CEMP) | Compliant |
| SC3- B11-a-iv | (iv) stormwater control and discharge; | Section 13.3 of CEMP | Prepare CEMP to detail stormwater control and discharge. | Soil and Water Management sub-plan (Section 13.3 of CEMP) | Compliant |
| SC3- B11-a-v | (v) measures to ensure that sediment and other materials are not tracked onto the roadway by vehicles leaving the site; | Section 13.3 of CEMP | Prepare CEMP to detail measures to ensure that sediment and other materials are not tracked onto the roadway by vehicles leaving the site. | Soil and Water Management sub-plan (Section 13.3 of CEMP) | Compliant |
| SC3- B11-a-vi | (vi) groundwater management plan including measures to prevent groundwater contamination; | Section 13.3 of CEMP | Prepare CEMP to detail groundwater management plan including measures to prevent ground water contamination. | Soil and Water Management sub-plan (Section 13.3 of CEMP) | Compliant |
| SC3- B11-a-vii | (vii) external lighting in compliance with AS 4282-1997 Control of the obtrusive effects of outdoor lighting; | Section 13.10.2 of CEMP | Prepare CEMP to detail external lighting in compliance with AS 4282-1997 Control of the obtrusive effects of outdoor lighting | Site Office Environmental Management Sub-plan (Section 13.10 of CEMP) | Compliant |
| SC3- B11-a-viii | (viii) community consultation and complaints handling; | CEMP Chapter 4 | Prepare CEMP to detail community consultation and complaints handling. | Communication and consultation outlined in Chapter 4 of the CEMP and within Stakeholder and Communications Management Plan (Multiplex, 16 January 2019) | Compliant |
| SC3- B11-b | (b) Construction Traffic and Pedestrian Management Sub-Plan (see Condition B13); | CEMP Appendix 13 | Prepare CTPMSP in accordance with SC3-B13. Attach to CEMP. | Appendix 13 of CEMP (Construction Traffic and Pedestrian Management sub-plan) | Compliant |
| SC3- B11-c | (c) Construction Noise and Vibration Management Sub-Plan (see Condition B14); | CEMP Appendix 8 | Prepare CNVMSP in accordance with SC3-B14. Attached to CEMP. | Appendix 8 of CEMP (Construction Noise and Vibration Management sub-plan). Prepared by Acoustic Logic (Version 1 dated 6 December 2018) | Compliant |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|------------|---|---|--|--|----------------------|
| SC3- B11-d | (d) Construction Waste Management Sub-Plan (see Condition B15); | CEMP Section 13.6 | Prepare CWMSP in accordance with SC3-B15. Attached to CEMP. | Section 13.6 of CEMP (Construction Waste Management sub-plan) | Compliant |
| SC3- B11-e | (e) Construction Soil and Water Management Sub-Plan (see Condition B16); | CEMP Appendix 9 | Prepare CSWMSP in accordance with SC3-B16. Attached to CEMP. | Appendix 9 of CEMP (Construction Soil and Water Management sub-plan) Prepared by GHD (dated January 2019) | Compliant |
| SC3- B11-f | (f) Aboriginal Cultural Heritage Management Sub-Plan (see Condition B17); | CEMP Appendix 11 | Prepare ACHMSP in accordance with SC3-B17. Attached to CEMP. | Appendix 11 of CEMP (Aboriginal Cultural Heritage Management sub-plan) Prepared by Archaeological Management And Consulting Group & Streat Archaeological Services (Version 2 dated November 2018) | Compliant |
| SC3- B11-g | (g) Biodiversity Management Sub-Plan (see Condition B18); | CEMP Appendix 12 | Prepare BMSP in accordance with SC3-B18. Attached to CEMP. | Appendix 12 of CEMP (Biodiversity Management sub-plan) Prepared by Wildthing Environmental Consultants (dated 18 December 2018) | Compliant |
| SC3- B11-h | (h) an unexpected finds protocol for contamination and associated communications procedure; | CEMP Appendix 6 | Prepare BMSP with an unexpected finds protocol for contamination and associated communications procedure. | Appendix 6 of CEMP (Unexpected finds protocol (contaminated material)) | Compliant |
| SC3- B11-i | (i) an unexpected finds protocol for Aboriginal and non-Aboriginal heritage and associated communications procedure; | CEMP Appendix 7 | Prepare ACHMSP with an unexpected finds protocol for Aboriginal and non-Aboriginal artefact finds and associated communications procedure. | Appendix 7 of CEMP (Unexpected finds protocol (Aboriginal heritage)) | Compliant |
| SC3- B11-j | (j) waste classification (for materials to be removed) and validation (for materials to remain) be undertaken to confirm the contamination status in these areas of the site. | CEMP Appendix 10 | Prepare CEMP to detail waste classification (for materials to be removed) and validation (for materials to remain) be undertaken to confirm the contamination status in these areas of the site. | Appendix 10 of CEMP (Contamination Management Plan (Revision 1 dated 12 December 2018) prepared by Multiplex) | Compliant |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|------------|--|--|---|---|----------------------|
| SC3- B12 | The Proponent must not commence construction of the development until the CEMP is approved by the Certifying Authority and a copy submitted to the Planning Secretary. | Email notification | Approval of CEMP by DPIE. | The CEMP was approved by the certifying body on 18/1/2019. And sent to the DPIE on the 22 January 2019. | Compliant |
| SC3- B13 | The Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) must address, but not be limited to, the following: | | | | |
| SC3- B13-a | (a) be prepared by a suitably qualified and experienced person(s); | Prepared by Multiplex & D Services (dated 6 December 2019) vers 5 | Prepare CTPMSP in accordance with this condition. | It is noted that the version on the website is version 5 and that the most up to date version of the CTPMSP is version 6. | Compliant |
| SC3- B13-b | (b) be prepared in consultation with Council, RMS and TfNSW; | Email evidence Diary Entry | Submission of plan to council, RMS and TfNSW for review and comments. | Consultation with Maitland City Council (MCC) was undertaken on the 27/11/2018 with some revisions undertaken and MCC approving plans on the 7/12/2018. Consultation was also undertaken with RMS – email evidence dated 12/12/2018, 13/01/2019 with comments on the plan. A review of a diary entry dated the 12 December 2018 indicated that the Multiplex project Engineer undertook consultation with TfNSW over the phone and TfNSW indicated that only RMS required to be consulted. | Compliant |
| SC3- B13-c | (c) detail the measures that are to be implemented to ensure road safety and network efficiency during construction in consideration of potential impacts on general traffic, cyclists and pedestrians and bus services; | CTPMSP Section 12 | CTMSP to detail the measures that are to be implemented to ensure road safety and network efficiency during construction in consideration of potential impacts on general traffic, cyclists and pedestrians and bus services. | Section 12 of CTPMSP details mitigation measures | Compliant |
| SC3- B13-d | (d) detail heavy vehicle routes, access and parking arrangements; | Appendix 1, 2, 3, 4, 5 and 6 of CTPMSP detail proposed vehicle movements, site access and parking arrangements | CTMSP to detail heavy vehicle routes, access and parking arrangements. | Appendix 1, 2, 3, 4, 5 and 6 of CTPMSP detail proposed vehicle movements, site access and parking arrangements | Compliant |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|----------------|--|---|--|---|----------------------|
| SC3- B13-e | (e) include a Driver Code of Conduct to: | CTPMSP - Appendix 8 | CTMSP to include a Driver Code of Conduct to comply with the below requirements. | Driver Code of Conduct included in Appendix 8 of CTPMSP | Compliant |
| SC3- B13-e-i | (i) minimise the impacts of earthworks and construction on the local and regional road network; | CTPMSP - Appendix 8 | CTMSP to include a Driver Code of Conduct to comply with the below requirements. | Driver Code of Conduct included in Appendix 8 of CTPMSP | Compliant |
| SC3- B13-e-ii | (ii) minimise conflicts with other road users; | CTPMSP - Appendix 8 | CTMSP to include a Driver Code of Conduct to minimise conflicts with other road users. | Driver Code of Conduct included in Appendix 8 of CTPMSP | Compliant |
| SC3- B13-e-iii | (iii) minimise road traffic noise; and | CTPMSP - Appendix 8 | CTMSP to include a Driver Code of Conduct to minimise road traffic noise. | Driver Code of Conduct included in Appendix 8 of CTPMSP | Compliant |
| SC3- B13-e-iv | (iv) ensure truck drivers use specified routes; | CTPMSP - Appendix 8 | CTMSP to include a Driver Code of Conduct to ensure truck drivers use specified routes. | Driver Code of Conduct included in Appendix 8 of CTPMSP | Compliant |
| SC3- B13-f | (f) include a program to monitor the effectiveness of these measures; and | CTPMSP | CTMSP to detail a program to monitor the effectiveness of these measures | Section 11 and 12 the CTPMSP | Compliant |
| SC3- B13-g | (g) if necessary, detail procedures for notifying residents and the community (including local schools), of any potential disruptions to routes. | Undertaken via the construction management plan | CTMSP to detail if necessary, detail procedures for notifying residents and the community (including local schools), of any potential disruptions to routes. | Included in Section 4.8 CMP | Compliant |
| SC3- B14 | The Construction Noise and Vibration Management Sub-Plan (CNVMSP) must address, but not be limited to, the following: | | Prepare CNVSP in accordance with this condition. | | |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|------------|---|--|--|--|----------------------|
| SC3- B14-a | (a) be prepared by a suitably qualified and experienced noise expert; | Appendix 8 of CEMP (Construction Noise and Vibration Management sub-plan) | Ensure the CNVSP is prepared by suitably qualified person. Seek qualifications and include in report. | Prepared by Acoustic Logic (Version 1 dated 6 December 2018) | Compliant |
| SC3- B14-b | (b) describe procedures for achieving the noise management levels in EPA's <i>Interim Construction Noise Guideline</i> (DECC, 2009); | Appendix 8 of CEMP (Construction Noise and Vibration Management sub-plan) | CNVMSPP to describe procedures for achieving the noise management levels in EPA's <i>Interim Construction Noise Guideline</i> (DECC, 2009). | The plan identifies that the construction noise management level will be exceeded and notes strategies to manage the exceedance. | Compliant |
| SC3- B14-c | (c) describe the measures to be implemented to manage high noise generating works such as piling, in close proximity to sensitive receivers; | Appendix 8 of CEMP (Construction Noise and Vibration Management sub-plan) | CNVMSPP to describe the measures to be implemented to manage high noise generating works such as piling, in close proximity to sensitive receivers. | This Compliance review found that the earthworks contractor Daracon also have the correct noisy work period in their plans. | Compliant |
| SC3- B14-d | (d) include strategies that have been developed with the community, including all noise sensitive receivers where construction noise levels are predicted to exceed the noise management level, for managing high noise generating works; | Community interaction and consultation processes are outlined in Section 8.1 of CNVMSPP. | CNVMSPP to include strategies that have been developed with the community, including all noise sensitive receivers where construction noise levels are predicted to exceed the noise management level, for managing high noise generating works. | A letter was sent on the 30/11/2018 to the affected community. The letter included a description of broad strategies to manage construction impacts including working hours and invited the community to respond with queries or issues. | Compliant |
| SC3- B14-e | (e) describe the community consultation undertaken to develop the strategies in condition B14(d); | Section 8.1 of CNVMSPP | CNVMSPP to describe the community consultation undertaken to develop the strategies in condition B14 (d). | Community interaction and consultation processes are outlined in Section 8.1 of CNVMSPP | Compliant |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|------------|---|--|---|--|----------------------|
| SC3- B14-f | (f) include a complaints management system that would be implemented for the duration of the construction. | Section 8.2 of CNVMSP | CNVMSP to include a complaints management system that would be implemented for the duration of the construction. | Complaints management detailed in Section 8.2 of CNVMSP | Compliant |
| SC3- B15 | The Construction Waste Management Sub-Plan (CWMSp) must address, but not be limited to, the following: | Section 13.6 of the CEMP | Prepare CWMSp in accordance with this condition. | CWMSp provided in Section 13.6 of the CEMP | Compliant |
| SC3- B15-a | (a) detail the quantities of each waste type generated during construction and the proposed reuse, recycling and disposal locations; | Section 13.6.4 of the CEMP (under the CWMSp) details the waste streams and proposed recycling, re-use and disposal methods for Stage 1 | CWMSp to detail the quantities of each waste type generated during construction and the proposed reuse, recycling and disposal locations. | Stage 1 works CWMSp outlines that a target that 80% of demolition and construction waste would be recycled. | Compliant |
| SC3- B15-b | (b) removal of hazardous materials, particularly the method of containment and control of emission of fibres to the air, and disposal at an approved waste disposal facility in accordance with the requirements of the relevant legislation, codes, standards and guidelines, prior to the commencement of any building works. | CWMSp | CWMSp to detail the removal of hazardous materials, particularly the method of containment and control of emission of fibres to the air, and disposal at an approved waste disposal facility in accordance with the requirements of the relevant legislation, codes, standards and guidelines, prior to the commencement of any building works. | Section 13.6.2 of CEMP (under the CWMSp) outlines a management strategies for the removal of hazardous waste | Compliant |
| SC3- B16 | The Construction Soil and Water Management Plan (CSWMSP) must address, but not be limited to the following: | CSWMP | Prepare CSWMSP in accordance with this condition. | Appendix 9 of CEMP (Construction Soil and Water Management sub-plan) | Compliant |

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| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|------------|--|---|---|--|----------------------|
| SC3- B16-a | (a) be prepared by a suitably qualified expert, in consultation with Council; | CSWMP | Ensure the CSWMP is prepared by suitably qualified person. Seek qualifications and include in report. Submit to council for review and comment. | CSWMP was prepared by GHD. The plan was sent to council on the 20 December 2018 as evidenced by an email to the Maitland City Council (MCC) manager of engineering. A response from council was received on the same day stating that council would provide a response following the holiday period after the 1 January 2019. The current revision of the CSWMP is dated January 2019) | Compliant |
| SC3- B16-b | (b) describe all erosion and sediment controls to be implemented during construction; | Section 4.2 of CSWMP details the erosion and sediment control strategy and plans. Site visit Site inspection checklists | CSWMP to describe all erosion and sediment controls to be implemented during construction. | The Civil sub-contractor 'Daracon' have been progressively updating the ESCP in line with comment raised by the Environmental Consultant 'GHD' who have been undertaking fortnightly inspections. The inspections undertaken by GHD on the 19/02/2019 and 15/03/2019 were evident in email correspondence. | Compliant |
| SC3- B16-c | (c) provide a plan of how all construction works will be managed in a wet-weather events (i.e. storage of equipment, stabilisation of the Site); | Appendix A CSWMP | CSWMP to provide a plan of how all construction works will be managed in a wet-weather events (i.e. storage of equipment, stabilisation of the Site). | Erosion and sediment control plan provided in Appendix A of the CSWMP (prepared by Taylor Thomson Whitting (19 December 2019) | Compliant |
| SC3- B16-d | (d) detail all off-Site flows from the Site; and | Appendix A CSWMP Daracon ESCP Site Inspection | CSWMP to detail all off-Site flows from the Site. | As above (erosion and sediment control plan) | Compliant |
| SC3- B16-e | (e) describe the measures that must be implemented to manage stormwater and flood flows for small and large sized events, including, but not limited to 1 in 1-year ARI, 1 in 5-year ARI and 1 in 100-year ARI). | Section 4.2 of CSWMP | CSWMP to describe the measures that must be implemented to manage stormwater and flood flows for small and large sized events, including, but not limited to 1 in 1-year ARI, 1 in 5-year ARI and 1 in 100-year ARI). | Section 4.2 of CSWMP details the erosion and sediment control strategy and plans for stormwater and flood flows. Measures shown graphically in erosion and sediment control plan (Appendix A of CSWMP) | Compliant |

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|------------|--|---|--|---|----------------------|
| SC3- B17 | The Aboriginal Cultural Heritage Management Sub-Plan (ACHMSP) must address, but not be limited to, the following: | Appendix 11 of CEMP | Prepare ACHMSP in accordance with this condition. | Appendix 11 of CEMP (Aboriginal Cultural Heritage Management sub-plan) | Compliant |
| SC3- B17-a | (a) be prepared by a suitably qualified and experienced expert in consultation with the Registered Aboriginal Parties; | Appendix 11 of CEMP | Ensure the ACHMSP is prepared by suitably qualified person. Seek qualifications and include in report. Submit to RAPS for review and comment as per the requirements. | Prepared by Archaeological Management And Consulting Group & Streat Archaeological Services (Version 2 dated November 2018). ACHMSP was prepared in consultation with the relevant RAPS (refer to Executive Summary of ACHMSP). | Compliant |
| SC3- B17-b | (b) be submitted to the Planning Secretary prior to construction of any part of the development; | Email evidence. | Submit ACHMSP to the Department. | The CEMP and all sub plans were submitted to the DPIE on 22/01/2019. | Compliant |
| SC3- B17-c | (c) measures to locate, document and salvage the previously identified artefact; | Appendix 11 of CEMP | ACHMSP to address measures to locate, document and salvage the previously identified artefact. | Section 5.0 of the ACHMSP details a plan of action to record and recover artefact(s) | Compliant |
| SC3- B17-d | (d) procedures to ensure all works are to immediately cease if unexpected archaeological artefacts are found on-site during any stage of the works and appropriate procedures for notification and recommencing works; | Appendix 11 of CEMP | ACHMSP to address procedures to ensure all works are to immediately cease if unexpected archaeological artefacts are found on-site during any stage of the works and appropriate procedures for notification and recommencing works. | Section 5.2 of the ACHMSP details recommendations (management measures) if an unexpected artefacts are found. Unexpected finds protocol for Aboriginal heritage is contained within Appendix 7 of the CEMP | Compliant |
| SC3- B17-e | (e) protocols for the salvage required for the project and also for the long term management of any areas of cultural or archaeological significance, within the project boundaries, but not subject to salvage excavations; | Appendix 11 of CEMP | ACHMSP to address protocols for the salvage required for the project and also for the long term management of any areas of cultural or archaeological significance, within the project boundaries, but not subject to salvage excavations. | Section 5.0 of the ACHMSP details a plan of action to record and recover artefact(s) (including management) | Compliant |

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|------------|---|---|---|---|----------------------|
| SC3- B17-f | (f) a requirement for all salvage works to be carried out under supervision of a qualified archaeologist and representatives of the Registered Aboriginal Parties (RAPs) for the project; and | Appendix 11 of CEMP | ACHMSP to address a requirement for all salvage works to be carried out under supervision of a qualified archaeologist and representatives of the Registered Aboriginal Parties (RAPs) for the project. | Section 5.2 of the ACHMSP details recommendations (management measures) if an unexpected artefacts are found | Compliant |
| SC3- B17-g | (g) a requirement for preparation of a final report outlining the results of any salvage work undertaken, which must be prepared in consultation with the project RAPs and should include all comments provided by the project RAPs regarding the salvage process and any long term management of Aboriginal objects. | Appendix 11 of CEMP | ACHMSP to address a requirement for preparation of a final report outlining the results of any salvage work undertaken, which must be prepared in consultation with the project RAPs and should include all comments provided by the project RAPs regarding the salvage process and any long term management of Aboriginal objects. | ACHMSP was prepared in consultation with the relevant RAPs (refer to Executive Summary of ACHMSP). Section 5.3.1 of sub-plan outlines the measures to locate, document and salvage the artefact | Compliant |
| SC3- B18 | The Biodiversity Management Sub-Plan (BMSP) must address, but not be limited to, the following: | Appendix 12 of CEMP | Prepare BMSP in accordance with this condition. | Appendix 12 of CEMP (Biodiversity Management sub-plan) | Compliant |
| SC3- B18-a | (a) be prepared by a suitably qualified and experienced ecologist; | Prepared by Wildthing Environmental Consultants (dated 18 December 2018) Section 2.0 of BMSP outlines management actions in regards to pre-clearing surveys | Ensure the BMSP is prepared by suitably qualified person. Seek qualifications and include in report. | | Compliant |

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| SC3- B18-b | (b) engagement of an appropriately qualified ecologist with experience in capturing native wildlife to be on site for all vegetation removal activities; | Section 2.0 of BMSP outlines management actions in regards to pre-clearing surveys | BMSP to address the engagement of an appropriately qualified ecologist with experience in capturing native wildlife to be on site for all vegetation removal activities. | In accordance with the clearing protocol in the BMSP a qualified ecologist (Wildthing) was engaged onsite during the clearing operations. | Compliant |
| SC3- B18-c | (c) clearing protocol; | Section 2.0 of BMSP outlines management actions in regards to pre-clearing surveys and protocol | BMSP to address measures to minimise the loss of key fauna habitat, including tree hollows. | Clearing protocol requires a preclearing survey to identify vegetation with the potential to provide habitat for fauna. This survey was undertaken on 30/11/18, 5/12/18 17/12/18 9/01/18 Habitat areas and fauna habitat were flagged on site and cleared after all other non-habitat areas were cleared. An ecologist was onsite during habitat clearing on the 8/03/2019 as evidenced by the clearing supervision letter form Wildthing (March 2019) . | Compliant |
| SC3- B18-d | (d) measures to minimise the loss of key fauna habitat, including tree hollows; | Section 2.0 of BMSP outlines management actions in regards to nest box programs and pre-clearing surveys | BMSP to address measures to minimise the loss of key fauna habitat, including tree hollows. | Wildthing were engaged to mark all habitat trees and the report issued in January 2019 showed evidence that they were on site on the 30/11/18, 5/12/18 17/12/18 9/01/18 tagging HBTs and other habitat features. | Compliant |
| SC3- B18-e | (e) measures to minimise the impacts on fauna on site, including conducting fauna pre-clearance surveys prior to vegetation clearing; | As above (Section 2.0 of BMSP) | BMSP to address measures to minimise the impacts on fauna on site, including conducting fauna pre-clearance surveys prior to vegetation clearing. | Just prior to clearing of habitat trees preclearance surveys were undertaken by Wildthing of Hollow bearing trees (HBT) to check for the presence of nesting or roosting fauna. Accordingly, Stag watching and anabat surveys were undertaken prior to clearing on the 21/2/19 and 26/2/19. No fauna was recorded as using the HBTS. | Compliant |
| SC3- B18-f | (f) controlling weeds and feral pests; | As above (Section 2.0 of BMSP) Site inspection Inspection records | BMSP to address measures to controlling weeds and feral pests. | There are no signs of weed on site. | Compliant |

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|---|---|---|--|---|----------------------|
| SC3- B18-g | (g) measures to ensure biodiversity values not intended to be impacted are protected, including barriers and mapping of protected/ 'no-go' areas; and | As above (Section 2.0 of BMSP) Site inspection | BMSP to address measures to ensure biodiversity values not intended to be impacted are protected, including barriers and mapping of protected/ 'no-go' areas | Barriers were present on site (noted during the site inspection) and marked on the plans which clearly shows the works zone and the tree protection zone. | Compliant |
| SC3- B18-h | (h) a program to monitor the effectiveness of the measures in the BMSP. | Section 3.0 of BMSP outlines monitoring and reporting requirements for the effectiveness of the sub-plan | BMSP to address a program to monitor the effectiveness of the measures in the BMSP. | It is noted that the Stage 1 BMP has includes monitoring of rehabilitated areas, however there are no rehabilitation works being undertaken in Stage 1 | Compliant |
| Construction Parking | | | | | |
| SC3- B19 | Prior to the commencement of construction, the Proponent must provide sufficient parking facilities on-site, including for heavy vehicles and for site personnel, to ensure that construction traffic associated with the development does not utilise public and residential streets or public parking facilities. | Site visit | Provide sufficient parking facilities on site. Use anticipated worker forecasts to monitor parking | Site visit Parking is available for construction staff. There was no evidence of use of the public and residential streets or public parking facilities | Compliant |
| Construction and Demolition Waste Management | | | | | |
| SC3- B20 | The Proponent must notify the RMS Traffic Management Centre of the truck route(s) to be followed by trucks transporting waste material from the site, prior to the commencement of the removal of any waste material from the site. | The construction traffic and pedestrian management plan was prepared in consultation with RMS. Appendix 4 of the Construction traffic and pedestrian management plan includes the truck routes for waste. | Notify the RMS Traffic Management Centre of the truck route(s) to be followed by trucks transporting waste material from the site, prior to the commencement of the removal of any waste material from the site. | Waste transport route was provided to RMS as appendix to Construction Traffic and Pedestrian Management Sub-Plan. | Compliant |
| Compliance Reporting | | | | | |

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|--|---|--|---|---|----------------------|
| SC3- B21 | No later than two weeks before the date notified for the commencement of construction, a Compliance Monitoring and Reporting Program prepared in accordance with the Compliance Reporting Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority. Compliance Reports of the project must be carried out in accordance with the Compliance Reporting Post Approval Requirements (Department 2018). The Proponent must make each Compliance Report publicly available 60 days after submitting it to the Department and notify the Department and the Certifying Authority in writing at least seven days before this is done. | Email evidence Site Diary Notes December 2018 – February 2019 Compliance monitoring and reporting program vers 1 and subsequent revisions | Submission of Compliance Reporting Programme to the Department and the Certifier. This document outlines the Compliance Reports for the project. Prepare compliance reports as per the requirements. Make Compliance Reports publicly available as per condition. | Compliance Monitoring Reporting Program was submitted to the Department on 24/01/2019. It was issued to the certifier on 11/01/2019. Compliance Report will be made publicly available 60 days after the submission to the Department and the Department and the Certifying Authority will be notified in writing at least seven days before this is done. | Compliant |
| Independent Environmental Audit | | | | | |
| SC3- B22 | No later than two weeks before the date notified for the commencement of construction, an Independent Audit Program prepared in accordance with the Independent Audit Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority. | Email evidence | Prepare and submit IAP to the Department and the Certifier | The audit program was submitted to the department on the 7/01/2019. It was submitted to the certifier on 11/01/2019 | Compliant |
| SC3- B23 | Independent Audits of the development must be carried out in accordance with: | As listed below. | Comply with the Independent Audit requirements outlines in this condition. | This condition was address in the Independent Audit. See below. | Compliant |
| SC3- B23-a | (a) the Independent Audit Program submitted to the Department and the Certifying Authority under condition B22 of this approval; and | Audit program Email evidence | Prepare and submit IAP to the Department and the Certifier | The audit program was submitted to the department on the 7/01/2019. | Compliant |
| SC3- B23-b | (b) the requirements for an Independent Audit Methodology and independent Audit Report in the Independent Audit Post Approval Requirements (Department 2018). | Independent Audit Post Approval Requirements (Department 2018). | Independent Auditor to comply with the requirements of the requirements. | An Independent Environmental Audit was conducted on 15/05/2019. | Compliant |
| SC3- B24 | In accordance with the specific requirements in the independent Audit Post Approval Requirements (Department 2018), the Proponent must: | As listed below. | Comply with the Independent Audit requirements outlines in this condition. | | |
| SC3- B24-a | (a) review and respond to each Independent Audit Report prepared under condition B23of this approval; | Email evidence | Respond to each of the Independent Audit as per the requirement. | HI have reviewed and responded to the report. A confirmation receipt of email from DPIE for submission of response to Independent Audit Report received 7/06/2019 | Compliant |
| SC3- B24-b | (b) submit the response to the Department and the Certifying Authority; and | Email evidence | Submit response to the Department and the Certifier | As above. | Compliant |

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|---|--|---|--|--|----------------------|
| SC3- B24-c | (c) make each Independent Audit Report and response to it publicly available within 60 days after submission to the Department and notify the Department and the Certifying Authority in writing when this has been done. | Website | Within 60 days after the submission to the Department, make the response publicly available. | Information is available to public via project website. | Compliant |
| PART C DURING CONSTRUCTION | | | | | |
| Approved Plans to be On-site | | | | | |
| SC3-C1 | A copy of the approved and certified plans, specifications and documents incorporating conditions of approval and certification must be kept on the Site at all times and must be readily available for perusal by any officer of the Department, Council or the Certifying Authority. | Site inspection | Viewing the plans on site. | Plans are located in the site office as are conditions of approval etc | Compliant |
| Site Notice | | | | | |
| SC3-C2 | A site notice(s): | Refer to specific points below. | Comply with site notice requirements as per condition. | | |
| SC3- C2-a | (a) must be prominently displayed at the boundaries of the site for the purposes of informing the public of project details including, but not limited to the details of the Builder, Certifying Authority and Structural Engineer. | Site inspection | Signs will be located at the front access gates to site. | Sign sighted. | Compliant |
| SC3- C2-b | (b) is to satisfy all but not be limited to, the following requirements: | Site inspection | Comply with site notice requirements as per condition. | Sign sighted. | Compliant |
| SC3- C2-b-i | (i) minimum dimensions of the notice must measure 841 mm x 594 mm (A1) with any text on the notice to be a minimum of 30-point type size; | Site inspection | Comply with site notice requirements as per condition. | Sign sighted. | Compliant |
| SC3- C2-b-ii | (ii) the notice is to be durable and weatherproof and is to be displayed throughout the works period; | Site inspection | Comply with site notice requirements as per condition. | Sign sighted. | Compliant |
| SC3- C2-b-iii | (iii) the approved hours of work, the name of the site/ project manager, the responsible managing company (if any), its address and 24-hour contact phone number for any inquiries, including construction/ noise complaint must be displayed on the site notice; and | Site inspection | Comply with site notice requirements as per condition. | Sign sighted. | Compliant |
| SC3- C2-b-iv | (iv) the notice(s) is to be mounted at eye level on the perimeter hoardings/fencing and is to state that unauthorised entry to the site is not permitted. | Site inspection | Comply with site notice requirements as per condition. | Sign sighted. | Compliant |
| Operation of Plant and Equipment | | | | | |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---------------------------|---|---|---|---|----------------------|
| SC3-C3 | All plant and equipment used on site, or to monitor the performance of the development must be: | Refer to specific points below. | MPX is utilising a Smartek system to monitor this compliance. This system will register all plant and equipment on site and monitor the maintenance of the plant and that the person/s registering the plant are competent to operate the plant and equipment. | | |
| SC3- C3-a | a) maintained in a proper and efficient condition; and | Site visit, Project records and Smartek | MPX will be utilising a Smartek system to monitor this compliance. This system will register all plant and equipment on site and monitor the maintenance of the plant and that the person/s registering the plant are competent to operate the plant and equipment. | Mobile plant inspection records were reviewed for the 13-15th May 2019 and found that where defects were noted they were promptly repaired. | Compliant |
| SC3- C3-b | b) operated in a proper and efficient manner. | Site visit, Project records and Smartek | MPX will be utilising a Smartek system to monitor this compliance. This system will register all plant and equipment on site and monitor the maintenance of the plant and that the person/s registering the plant are competent to operate the plant and equipment. | Mobile plant inspection records were reviewed for the 13-15th May 2019 and found that where defects were noted they were promptly repaired. | Compliant |
| Construction Hours | | | | | |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|-----------|--|--|---|--|----------------------|
| SC3-C4 | Construction, including the delivery of materials to and from the site, may only be carried out between the following hours: | Refer to specific points below. | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | | |
| SC3- C4-a | (a) between 7am and 6pm, Mondays to Fridays inclusive; and | Site induction Smartek system Daily prestart toolbox records | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | Daily prestart toolbox occurs daily and no work occurs prior to this time Security at gate records entry of vehicles either through a smartek card system or for visitor/delivery vehicles physically noting rego number and time and date. | Compliant |
| SC3- C4-b | (b) between 8am and 1pm, Saturdays. | Site induction Smartek system Daily prestart toolbox records | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | Daily prestart toolbox occurs daily and no work occurs prior to this time Security at gate records entry of vehicles either through a smartek card system or for visitor/delivery vehicles physically noting rego number and time and date. | Compliant |
| SC3- C4-c | No work may be carried out on Sundays or public holidays. | Site induction Smartek system Daily prestart toolbox records | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | Daily prestart toolbox occurs daily and no work occurs prior to this time Security at gate records entry of vehicles either through a smartek card system or for visitor/delivery vehicles physically noting rego number and time and date. | Compliant |
| SC3-C5 | Activities may be undertaken outside of the hours in Condition C4 if required: | N/A | N/A | | Not-Triggered |
| SC3- C5-a | (a) by the Police or a public authority for the delivery of vehicles, plant or materials; or | N/A | N/A | | Not-Triggered |
| SC3- C5-b | (b) in an emergency to avoid the loss of life, damage to property or to prevent environmental harm; or | N/A | N/A | | Not-Triggered |
| SC3- C5-c | (c) where works are inaudible at the nearest sensitive receivers; or | N/A | N/A | | Not-Triggered |
| SC3- C5-d | (d) where a variation is approved in advance in writing by the Secretary or her nominee and sufficient justification | N/A | N/A | | Not-Triggered |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---|---|---|---|--|----------------------|
| SC3- C5-e | Notification of such activities must be given to affected residents before undertaking the activities or as soon as is | N/A | N/A | | Not-Triggered |
| SC3-C6 | Rock breaking, rock hammering, sheet piling, pile driving and similar activities may only be carried out between the | N/A | N/A | | Not-Triggered |
| SC3- C6-a | (a) 9am to 12pm, Monday to Friday; | Conditions of Consent CEMP | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | All staff receive the conditions of consent in the sub contactors pack. Sighted email to Civil contractor 'Daracon' with the conditions on 14/02/2019. Only rock breaking has occurred and this has been undertaken in the required hours. Daracon have included this requirement in their own CEMP. | Compliant |
| SC3- C6-b | (b) 2pm to 6pm Monday to Friday; and | Conditions of Consent CEMP | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | All staff receive the conditions of consent in the sub contactors pack. Sighted email to Civil contractor 'Daracon' with the conditions on 14/02/2019. Only rock breaking has occurred and this has been undertaken in the required hours. Daracon have included this requirement in their own CEMP. | Compliant |
| SC3- C6-c | (c) 9am to 12pm, Saturday. | Conditions of Consent CEMP | Issue hours of work to subcontractors in contract scope of works and to workers during project specific inductions. Construction site team to supervise and enforce working hours. | All staff receive the conditions of consent in the sub contactors pack. Sighted email to Civil contractor 'Daracon' with the conditions on 14/02/2019. Only rock breaking has occurred and this has been undertaken in the required hours. Daracon have included this requirement in their own CEMP. | Compliant |
| Implementation of Management Plans | | | | | |
| SC3-C7 | The Proponent must carry out the construction of the development in accordance with the most recent version of the approved CEMP (including Sub-Plans). | CEMP Sub plans Ste inspection Inspection records | Extensive monitoring associated with this requirement all detailed within various management plans and sub management plans. | The works are being undertaken in accordance the requirements of the CEMP and sub plans | Compliant |
| Construction Traffic | | | | | |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|-------------------------------------|--|---|--|---|----------------------|
| SC3-C8 | All construction vehicles (excluding worker vehicles) are to be contained wholly within the site, except if located in an approved on-street work zone, and vehicles must enter the site before stopping. | Site inspection Induction - COA | Enforce by communicating to all subcontractors and visual monitoring by Multiplex staff. | There was no evidence of construction vehicles being outside of the site. | Compliant |
| Road Occupancy Licence | | | | | |
| SC3-C9 | A Road Occupancy Licence must be obtained from the relevant road authority for any works that impact on traffic flows during construction activities. | N/A - at this stage | N/A | | Not-Triggered |
| SafeWork Requirements | | | | | |
| SC3- C10 | To protect the safety of work personnel and the public, the work site must be adequately secured to prevent access by unauthorised personnel, and work must be conducted at all times in accordance with relevant SafeWork requirements. | Site inspection | Site fencing to be maintained at all times. Gates during the day to be manned by a security guard to ensure no unauthorised persons come onto site. All work on site to be in accordance with Multiplex's Site Specific Work Health Safety Plan and it's accredited systems. | Site is secure and fenced. Security at the gate and site camera. | Compliant |
| Hoarding Requirements | | | | | |
| SC3- C11 | The following hoarding requirements must be complied with: | Site inspection | Hoarding requirements to be complied with. | All site boundaries are fences; no hoarding | Compliant |
| SC3- C11-a | (a) no third-party advertising is permitted to be displayed on the subject hoarding/ fencing; | Site inspection | Visual inspections by construction project team. | No advertising is on the fencing | Compliant |
| SC3- C11-b | (b) the construction site manager must be responsible for the removal of all graffiti from any construction hoardings or the like within the construction area within 48 hours of its application; and | Site inspection | Visual inspections by construction project team. | No graffiti was noted during the site inspection | Compliant |
| SC3- C11-c | (c) the Proponent must submit a hoarding application to Council for the installation of any hoardings over Council | NA | N/A | No hoarding on site. | Not-Triggered |
| No Obstruction of Public Way | | | | | |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|----------------------------------|---|---|--|---|----------------------|
| SC3- C12 | The public way (outside of any approved construction works zone) must not be obstructed by any materials, vehicles, refuse, skips or the like, under any circumstances, unless there is prior approval from the relevant authority. Non-compliance with this requirement will result in the issue of a notice by the relevant Authority to stop all works on site. | Site inspection | Communicate to workers in the project specific induction. Enforced by construction project team. | No obstructions were noted during the site inspection | Compliant |
| Construction Noise Limits | | | | | |
| SC3- C13 | The development must be constructed to achieve the construction noise management levels detailed in <i>the Interim Construction Noise Guideline</i> (DECC, 2009). All feasible and reasonable noise mitigation measures must be implemented and any activities that could exceed the construction noise management levels must be identified and managed in accordance with the management and mitigation measures identified in the approved Construction Noise and Vibration Management Plan. | CVNMP Inspection checklist Equipment prestart checks | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method Statements | Construction Noise and Vibration Management Plan has been prepared that sets the noise management levels. There have been no complaints regarding noise. | Compliant |
| SC3- C14 | The Proponent must ensure construction vehicles (including concrete agitator trucks) do not arrive at the site or surrounding residential precincts outside of the construction hours of work outlined under Condition C4. | Pre-start meetings toolboxes Project records Induction | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method Statements | Workers are permitted to arrive on site prior to 7am. Prestart meeting is held at 7am and no works and no deliveries are undertaken before 7am. | Compliant |
| SC3- C15 | The Proponent must implement, where practicable and without compromising the safety of construction staff or members of the public, the use audible movement alarms of a type that would minimise noise impacts on surrounding noise sensitive receivers. | Site inspection CNVMP | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method Statements | The use of broad band reversing alarms are used wherever possible and practical. | Compliant |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|---------------------------|---|---|--|---|----------------------|
| SC3- C16 | Any noise generated during construction of the development must not be offensive noise within the meaning of the <i>Protection of the Environment Operations Act 1997</i> or exceed approved noise limits for the site. | Noted | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method | No noise complaints have been received to date. | Compliant |
| Vibration Criteria | | | | | |
| SC3- C17 | Vibration caused by construction at any residence or structure outside the site must be limited to: | | | | |
| SC3- C17-a | (a) for structural damage, the latest version of <i>DIN 4150-3 (1992-02) Structural vibration - Effects of vibration on structures</i> (German Institute for Standardisation, 1999); and | Site plans CEMP | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method | No building that would be affected by vibration is in the vicinity of the works | Compliant |
| SC3- C17-b | (b) for human exposure, the acceptable vibration values set out in the <i>Environmental Noise Management Assessing Vibration: a technical guideline</i> (DEC, 2006) (as may be updated or replaced from time to time). | Site plans CEMP | CNVMP to nominate noise mitigation measures and MPX to enforce with subcontractors through reviews of Environmental Work Method | No building that would be affected by vibration is in the vicinity of the works | Compliant |
| SC3- C18 | Vibratory compactors must not be used closer than 30 metres from residential buildings unless vibration monitoring confirms compliance with the vibration criteria specified in condition C17. | NA all works are greater than 30m from residences | N/A | | Not-Triggered |
| SC3- C19 | The limits in conditions C17 and C18 apply unless otherwise outlined in a Construction Noise and Vibration | N/A | N/A | | Not-Triggered |
| Tree Protection | | | | | |
| SC3- C20 | For the duration of the construction works: | As detail below. | Design documentation to nominate trees to be protected. MPX to us bunting to ensure no plant or machinery come in close proximity with the tree being protected. | | |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|--------------------------|---|---|--|--|----------------------|
| SC3- C20-a | (a) all trees on the site that are not approved for removal must be suitably protected during construction; and | Site visit Inspection checklist | Design documentation to nominate trees to be protected. MPX to us bunting to ensure no plant or machinery come in close proximity with the tree being protected. | Fencing in place | Compliant |
| SC3- C20-b | (b) if access to the area within any protective barrier is required to undertake the works, it must be carried out under the supervision of a qualified arborist. Alternative tree protection measures, following completion of the works, must be carried out under the supervision of a qualified arborist and must avoid both direct mechanical injury to the structure of the tree and soil compaction within the canopy or the limit of the former protective fencing, whichever is the greater. | Site visit Inspection checklist | Design documentation to nominate trees to be protected. MPX to us bunting to ensure no plant or machinery come in close proximity with the tree being protected. | A site inspection was undertaken. No works were carried out within the protective barriers. We reviewed the inspection Work, health, safety and environment (WHSE) internal checklist for the 3/4, 9/4, 16/4/19, 30/4/19. None of these inspection checks indicated that works were being undertaken outside of the approved boundary. | Compliant |
| Dust Minimisation | | | | | |
| SC3- C21 | The Proponent must take all reasonable steps to minimise dust generated during all works authorised by this approval. | Dust monitoring records Site inspection | During civil works the civil subcontractor will have water trucks to suppress dust. Dust monitoring to be carried out through civil works. | The site inspection found no evidence (i.e. dust on vegetation/fencing) to suggest that dust has been a problem on the site. VGT are engaged to install dust gauges onsite. The records for dust between the dates of 18/04/19 and 26/04/19 were reviewed at the audit showing no records of above 4g/m ² /month. . | Compliant |
| SC3- C22 | During construction, the Proponent must ensure that: | | Below requirements to be complied with. | | |
| SC3- C22-a | (a) exposed surfaces and stockpiles are suppressed by regular watering; | Site inspection Induction records Water cart onsite | Regular watering of surfaces and stockpiles | Included in the induction, noted on site during inspection | Compliant |
| SC3- C22-b | (b) all trucks entering or leaving the site with loads have their loads covered; | Daracon EMP CEMP | Construction project team to ensure all trucks entering or leaving the site with loads have their loads covered. | This requirement is included in CEMP the Daracon EMP | Compliant |
| SC3- C22-c | (c) trucks associated with the development do not track dirt onto the public road network; | Site visit | Wheel wash. Wash down zone. | Dirt is managed by a wheel wash onsite | Compliant |
| SC3- C22-d | (d) public roads used by these trucks are kept clean; and | Site visit | Wheel wash. Wash down zone. | Dirt is managed by a wheel wash onsite; Sweepers have been used as required | Compliant |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|-------------------------------------|---|---|--|---|----------------------|
| SC3- C22-e | (e) land stabilisation works are carried out progressively on site to minimise exposed surfaces. | Site visit | Staging civil works to minimise exposed surfaces. | The site visit noted that all surfaces were compacted and ready for the next stage of works. No area outside of the Stage 1 are had been disturbed. | Compliant |
| Erosion and Sediment Control | | | | | |
| SC3- C23 | All erosion and sediment control measures, must be effectively implemented and maintained at or above design capacity for the duration of the construction works and until such time as all ground disturbed by the works have been stabilised and rehabilitated so that it no longer acts as a source of sediment. | ESCP Site visit Inspection checklist | Ensure compliance with requirements through CEMP and enforcing with SC's with Enviro SWMS. | ESCP have been prepared and checked during weekly documented site inspections. This Compliance review looked at the WHSE inspection checklists for the 3/4, 9/4, 16/4/19, and 30/4/19. Maintenance issues were being raised on the checklist and evidence provided that these are being closed out. It is also noted that good practise erosion and sediment control issues were being noted on the internal inspection checklist. The ESCP has been progressively updated. Erosion and sediment controls were sighted and all measures in place and maintained in very good order. | Compliant |
| Imported Soil | | | | | |
| SC3- C24 | The Proponent must: | As detailed below. | Record material movements with Material Tracking Plan. | | |
| SC3- C24-a | (a) ensure that only VENM, ENM, or other material approved in writing by EPA is brought onto the site; | VENM Certificates | Record material movements with Material Tracking Plan. | VENM certificates are provided by Coffey – certificates 7 July 2016 for Buttai Quarry and VENM from Seaham Quarry certified by Boral on 26 Feb 2019. The approval in writing from the EPA is the included in the ENM and VENM conditions in the EPL variation 4 March 2019. EPL No 21199 | Compliant |
| SC3- C24-b | (b) keep accurate records of the volume and type of fill to be used; and | Material tracking record | Record material movements with Material Tracking Plan. | Daracon have a materials tracking record tracking cut and fill across the site as well as imported fill. Record sighted with entries from 25/2/19 to 3/5/19. The updated tracking record is submitted weekly to Multiplex. | Compliant |
| SC3- C24-c | (c) make these records available to the Department or Certifying Authority upon request. | N/A | N/A | | Not-Triggered |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|--|---|---|--|--|----------------------|
| SC3- C25 | Disposal of Seepage and Stormwater. Any seepage or rainwater collected on-site during construction or groundwater must not be pumped to the street stormwater system unless separate prior approval is given in writing by the EPA in accordance with the Protection of the Environment Operations Act 1997. | SWMP dewatering procedure | Construction Soil and Water Management Plan will specify that no construction seepage and rain water collected on site is to be pumped into the street stormwater system without prior approval. | A dewatering procedure outlines correct requirements. | Compliant |
| Unexpected Finds Protocol — Aboriginal Heritage | | | | | |
| SC3- C26 | In the event that surface disturbance identifies a new Aboriginal object, all works must halt in the immediate area to prevent any further impacts to the object(s). A suitably qualified archaeologist and the registered Aboriginal representatives must be contacted to determine the significance of the objects. The site is to be registered in the Aboriginal Heritage Information Management System (AHIMS) which is managed by OEH and the management outcome for the site included in the information provided to AHIMS. The Proponent must consult with the Aboriginal community representatives, the archaeologists and OEH to develop and implement management strategies for all objects/sites. Works shall only recommence with the written approval of OEH. | N/A | N/A | No Aboriginal Heritage object found. Unexpected Finds Protocol in place. | Not-Triggered |
| Unexpected Finds Protocol — Historic Heritage | | | | | |
| SC3- C27 | If any unexpected archaeological relics are uncovered during the work, then all works must cease immediately in that area and the OEH Heritage Division contacted. Depending on the possible significance of the relics, an archaeological assessment and management strategy may be required before further works can continue in that area. Works may only recommence with the written approval of Heritage Division of the OEH. | N/A | N/A | No archaeological relics found. Unexpected Finds Protocol in place. | Not-Triggered |
| Waste Storage and Processing | | | | | |
| SC3- C28 | Waste must be secured and maintained within designated waste storage areas at all times and must not leave the site onto neighbouring public or private properties. | Site visit | Appropriate waste disposal facilities (e.g. bins) shall be provided in strategic locations onsite. Waste bins shall be located such that they do not affect the community and not close to surrounding premises. | Very little waste noted on site. The site was very well organised and neat and tidy. All waste /materials were stored appropriately. | Compliant |
| SC3- C29 | All waste generated during construction must be assess, classified and managed in accordance with the Waste Classification Guidelines Part 1: Classifying Waste (EPA, 2014). | Monthly waste reports | Review of the waste report. | Some construction waste are being removed off site at this stage. A waste register is maintained via monthly reports. Review of the waste reports were conducted for this compliance review. | Compliant |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|--|--|--|---|---|----------------------|
| SC3- C30 | The body of any vehicle or trailer used to transport waste or excavation spoil must be covered before leaving the premises to prevent any spillage or escape of any dust, waste of spoil. Mud, splatter, dust and other material likely to fall from or be cast off the wheels, underside or body of any vehicle, trailer or motorised plant leaving the site must be removed before leaving the premises. | Site Inspection, Induction COA on site | Truck wheel wash. Wash down zone. | This is a requirement for all vehicles. | Compliant |
| SC3- C31 | The Proponent must ensure that concrete waste and rinse water are not disposed of on the site and are prevented from entering any natural or artificial watercourse. | Site visit | Concrete waste and rinse water to be placed in general waste bin. Transferred for reprocess by waste contractor and diverted from landfill. | Only the chute of trucks are permitted to wash out on site – checked during site visit | Compliant |
| Incident Notification, Reporting and Response | | | | | |
| SC3- C32 | The Department must be notified in writing to compliance@planning.nsw.gov.au immediately after the Proponent becomes aware of an incident. The notification must identify the development (including the development application number and the name of the development if it has one), and set out the location and nature of the incident. Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix 1. | No incidents to date | Written into management plans. | No incidents to date | Not-Triggered |
| Non-Compliance Notification | | | | | |
| SC3- C33 | The Department must be notified in writing to compliances@planning.nsw.gov.au within seven days after the Proponent becomes aware of any non-compliance. The Certifying Authority must also notify the Department in writing to compliance@planning.nsw.gov.au within seven days after they identify any non-compliance. The notification must identify the development and the application number for it, set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be, undertaken to address the non-compliance. A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance. | Non-compliances raised in an independent audit to be raised. | Email correspondence to planning. | An Independent Environmental Audit was conducted on 15/05/2019. The outcome of the IEA was submitted to DPIE on 7/06/2019 includes details of any Non-compliances. Acceptance of the IEA was issued by DPIE on 4/07/2019. | Compliant |
| Revision of Strategies, Plans and Programs | | | | | |
| SC3- C34 | Within three months of: | | | | |
| SC3- C34-a | (a) the submission of a compliance report under condition B21; | Email evidence of the submission of Compliance Report to the Department. | Review the submission of Compliance Report to the Department. Make Compliance Reports publicly available as per condition. | Compliance report to be submitted. | Not-Triggered |
| SC3- C34-b | (b) the submission of an incident report under condition C32; | No incidents to date | N/A | No incidents to date | Not-Triggered |
| SC3- C34-c | (c) the submission of an Independent Audit under condition B22; | Email evidence | Prepare and submit IAP to the Department and the Certifier | An Independent Environmental Audit report was submitted to DPIE on 7/06/2019. Acceptance of the IEA was issued by DPIE on 4/07/2019. | Compliant |
| SC3- C34-d | (d) the issue of a direction of the Planning Secretary under condition A2 which requires a review, the strategies, plans and programs required under this approval must be reviewed, and the Department and the Certifying Authority must be notified in writing that a review is being carried out. | | | | Not-Triggered |

Appendix A – Compliance Table for New Maitland Hospital - SSI 9022 Conditions

| ID | Compliance Requirement | Evidence to be Collected, Assess and Determine Compliance | Compliance Methodology | Commentary on Findings and Recommendations | Status of Compliance |
|----------|---|---|------------------------|--|----------------------|
| SC3- C35 | If necessary to either improve the environmental performance of the development, cater for a modification or comply with a direction, the strategies, plans and programs required under this ' approval must be revised, to the satisfaction of the Certifying Authority. Where revisions are required, the revised document must be submitted to the Certifying Authority for approval within six weeks of the review. | | | | Not-Triggered |

Appendix B – Compliance Report Declaration Form

Compliance Report Declaration Form

| | |
|-----------------------------|---|
| Project Name: | New Maitland Hospital |
| Project Application Number: | SSI 9022 |
| Description of Project: | New Maitland Hospital comprising: <ul style="list-style-type: none"> - Concept proposal for the development of a new hospital with approximately 60,000sqm of floor space on the subject site, including a nine storey building envelope and site access arrangements. - Stage 1 site clearance and preparatory works, including: bulk earthworks; utility connections; in-ground infrastructure works; vegetation removal; building foundations; drainage infrastructure; and construction of temporary roads; temporary car parking area, temporary fencing and site office/compound. |
| Project Address: | Lot 7314 Metford Road, Metford, NSW |
| Proponent: | Health Infrastructure NSW |
| Title of Compliance Report: | Construction Compliance Report |
| Date: | 30 July 2019 |

I declare that I have reviewed relevant evidence and prepared the contents of the attached Compliance Report and to the best of my knowledge:

- the Compliance Report has been prepared in accordance with all relevant conditions of consent;
- the Compliance Report has been prepared in accordance with the Compliance Reporting Post Approval Requirements;
- the findings of the Compliance Report are reported truthfully, accurately and completely;
- due diligence and professional judgement have been exercised in preparing the Compliance Report; and
- the Compliance Report is an accurate summary of the compliance status of the development.

Notes:

- Under section 10.6 of the Environmental Planning and Assessment Act 1979 a person must not include false or misleading information (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- The Crimes Act 1900 contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years' imprisonment or 200 penalty units, or both).

| | |
|---------------------------------------|--|
| Name of Authorised Reporting Officer: | |
| Title: | |
| Signature: | |
| Qualification: | |
| Company: | |
| Company Address: | |